

“Automation” from the Perspective of an Energy Management System

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September 7th 16:45-17:45
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Faculty of Science and Engineering, Waseda University**



Director: IONL-WISE(Industrial Open-Network Lab, WISE)

Director: RIPES-ACROSS(Research Institute for Power and Energy Systems,
Advanced Collaborative Research Organization for Smart Society)

2022- Advisor, **JEITA** Control & Energy Management Committee-WG1, RENKEI control

2019-2021 Chair: **JEMIMA FEMS**(IEC 63376) committee, TC65/JWG14, 17 expert

2008.4 - **Professor, Waseda University**

2008.3 - 2008.12

Visiting Professor, École polytechnique fédérale de Lausanne, Switzerland

2002.4 - 2008.3

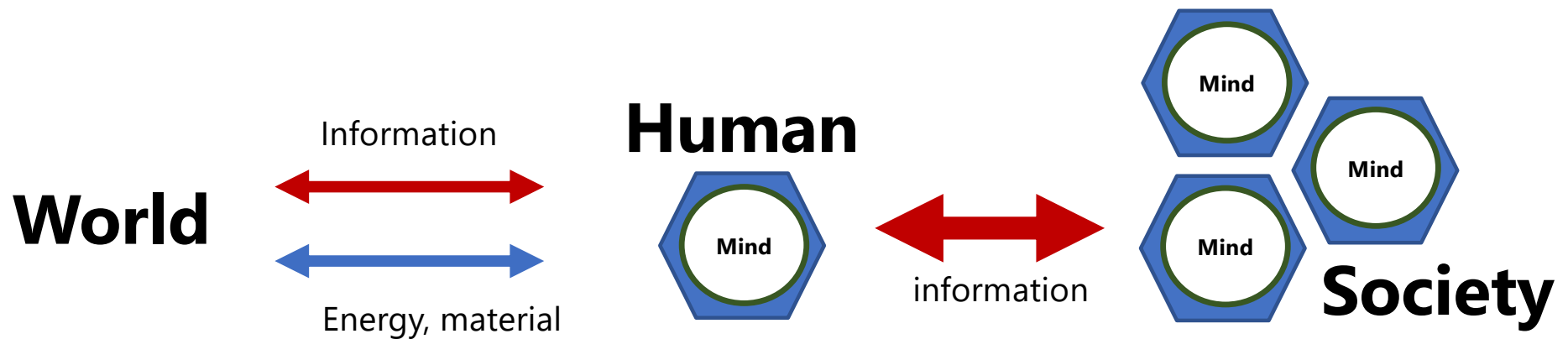
Associate Professor, Waseda University

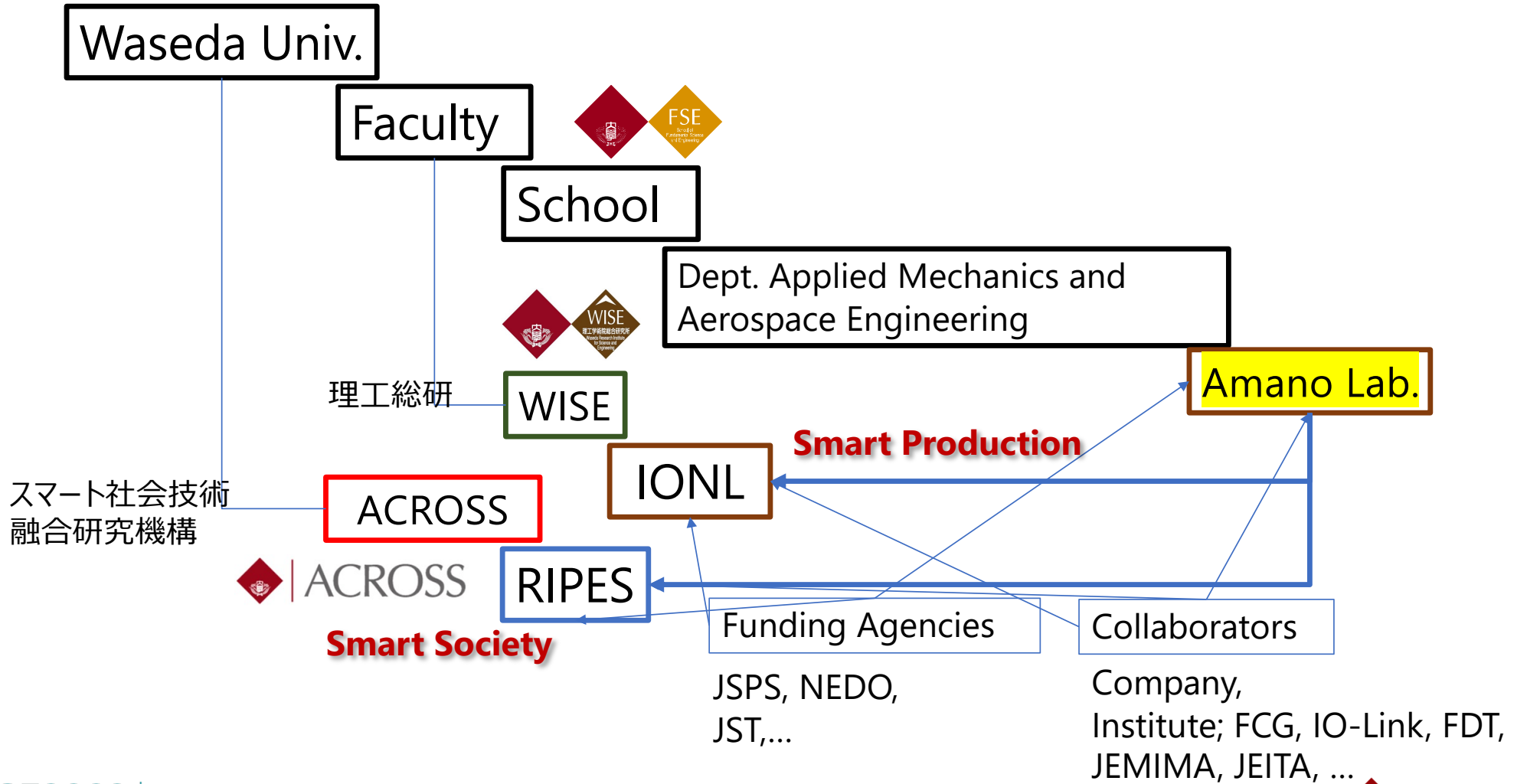
2000.4 - 2002.3

Assistant Professor, RISE (Research Institute for Science and Engineering), Waseda University

Engineering is a knowledge system that **evolves technology**

Learn from **Society** and develop **technology** for **Society**





Founded in 2003

Target : Process Automation, Factory Automation

- Field information: Hierarchy from field to manufacturing execution system (MES) (ISA 95 model, Level 0 to 3)
- Holding technical seminars (every month to three months)



• IONL

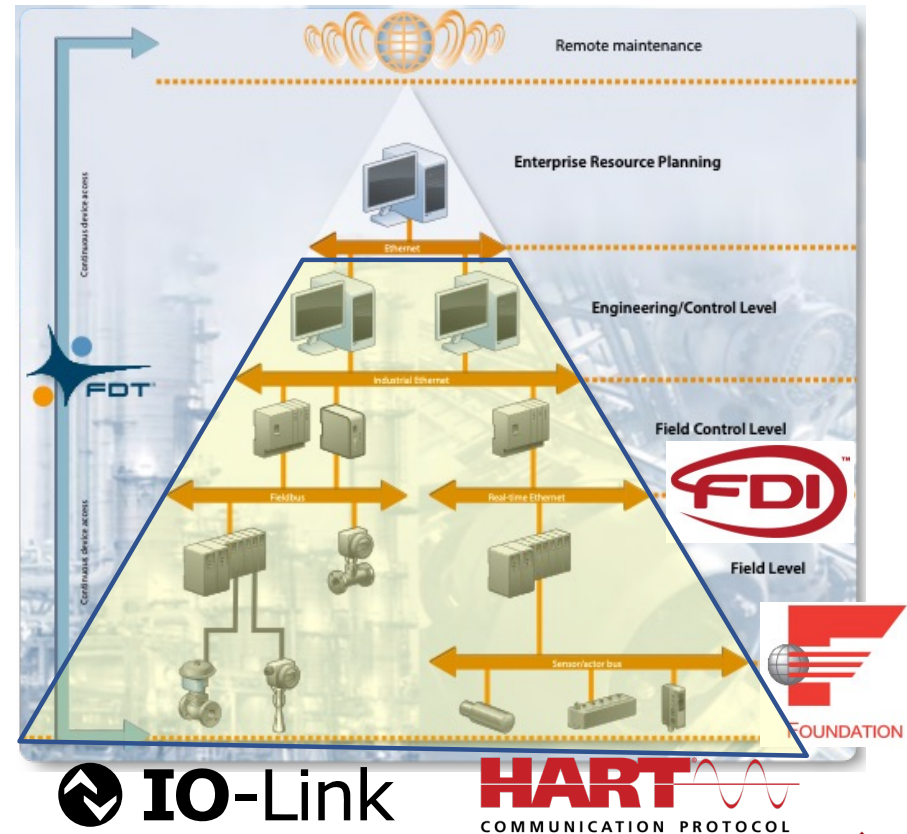
FCG section



IO-Link section



FDT section



- **Challenges to overcome**
- **Energy Management System**
- **Automation is a measure of evolution of technology**
- **Summary**

■ Develop Sustainable Society

□ Climate Change due to GHG;

- Human activity
- Re-build energy system

□ Optimization of system **configuration**

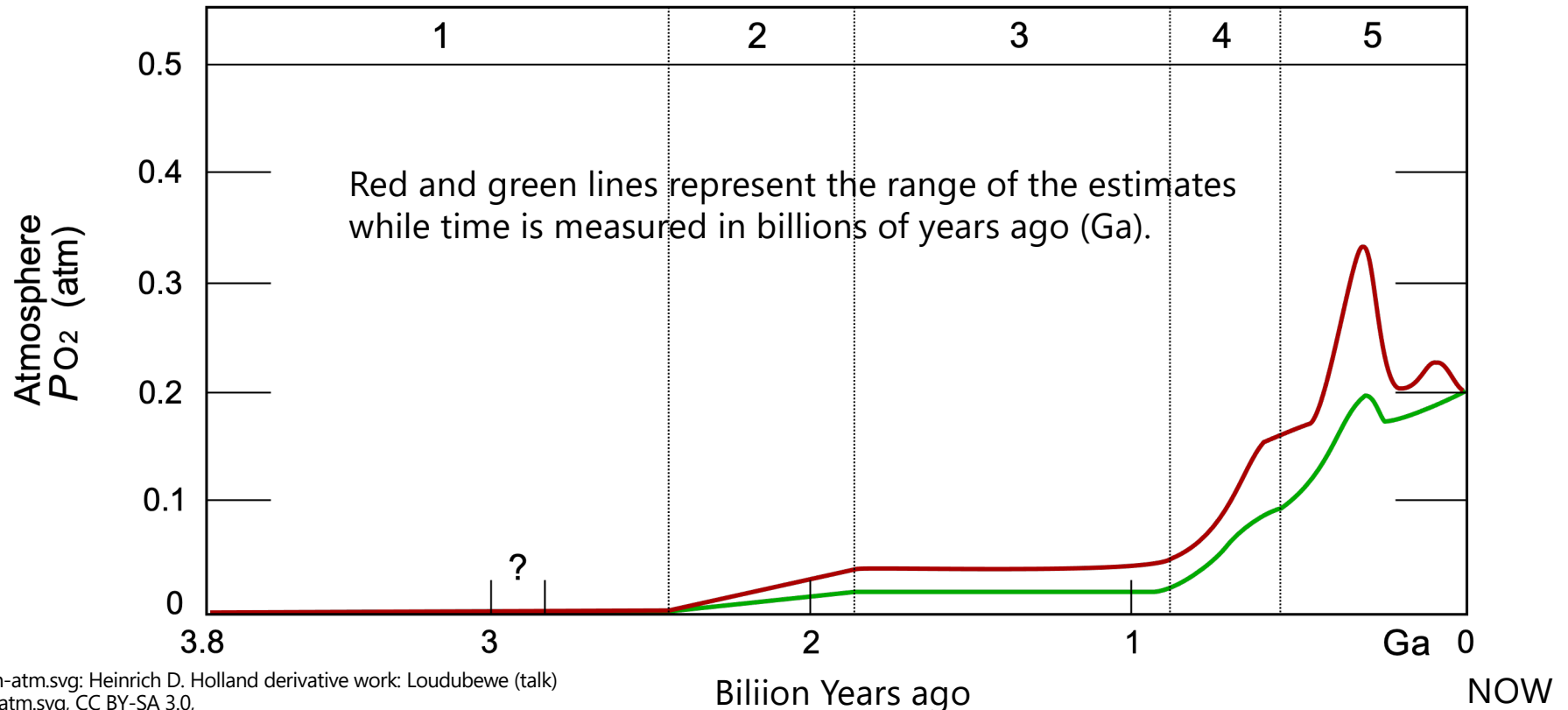


Activity of life changed our environment

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Great Oxidation Event; The event is inferred to have been caused by cyanobacteria producing the oxygen

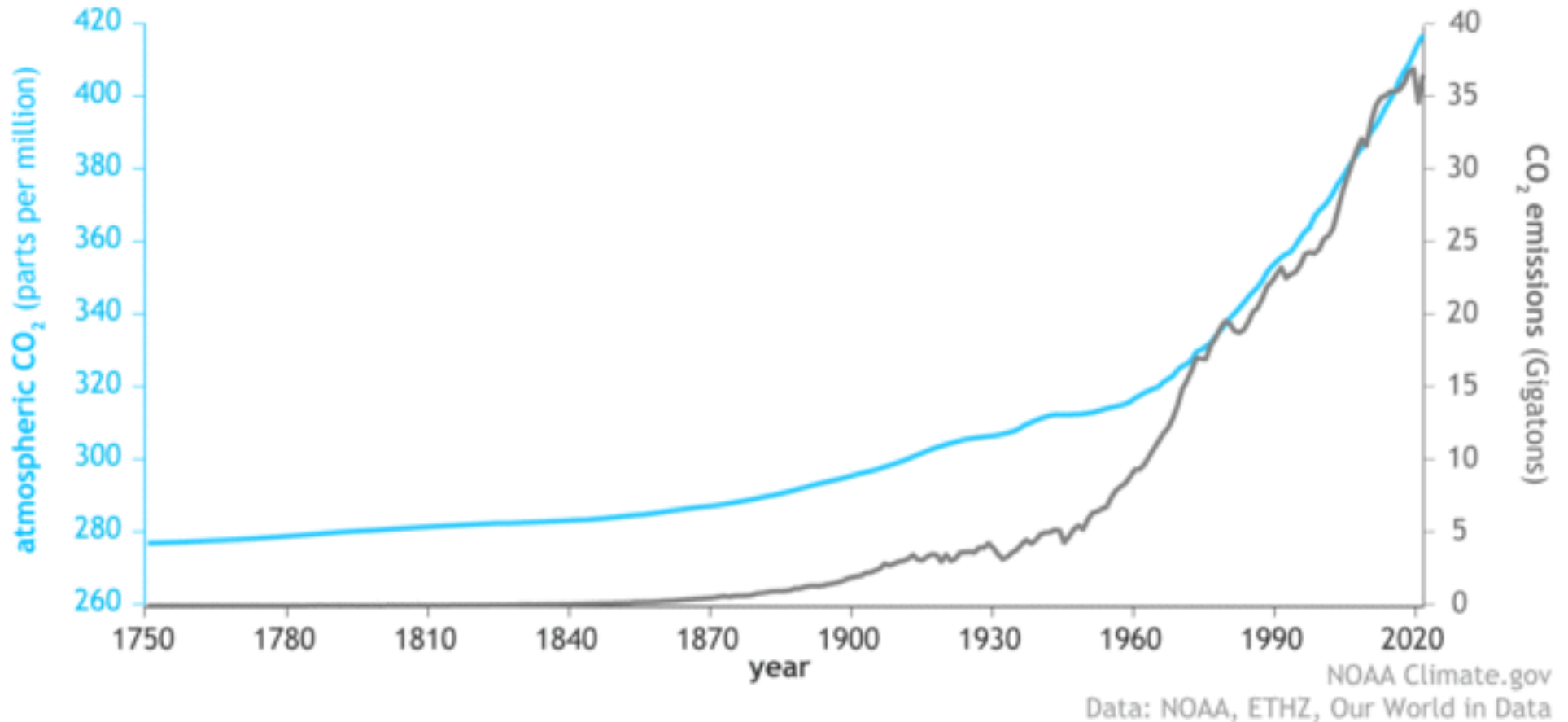
Stages O₂ build-up in the [Earth's atmosphere](#)



By Oxygenation-atm.svg; Heinrich D. Holland derivative work: Loudubewe (talk)
- Oxygenation-atm.svg, CC BY-SA 3.0,
<https://commons.wikimedia.org/w/index.php?curid=12776502>

we put more carbon dioxide into the atmosphere than natural processes can remove

Atmospheric carbon dioxide amounts and annual emissions (1750-2021)



<https://www.climate.gov/news-features/understanding-climate/climate-change-atmospheric-carbon-dioxide>

Global human-made mass exceeds all living biomass

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On average, for each person on the globe, anthropogenic mass equal to more than his or her **bodyweight** is produced every week.



4 Gt



8 Gt



900 Gt



11,00 Gt

Animals	4 Gt
Plastics	8 Gt

Trees and shrubs	900 Gt
Buildings and infrastructure	1,100 Gt

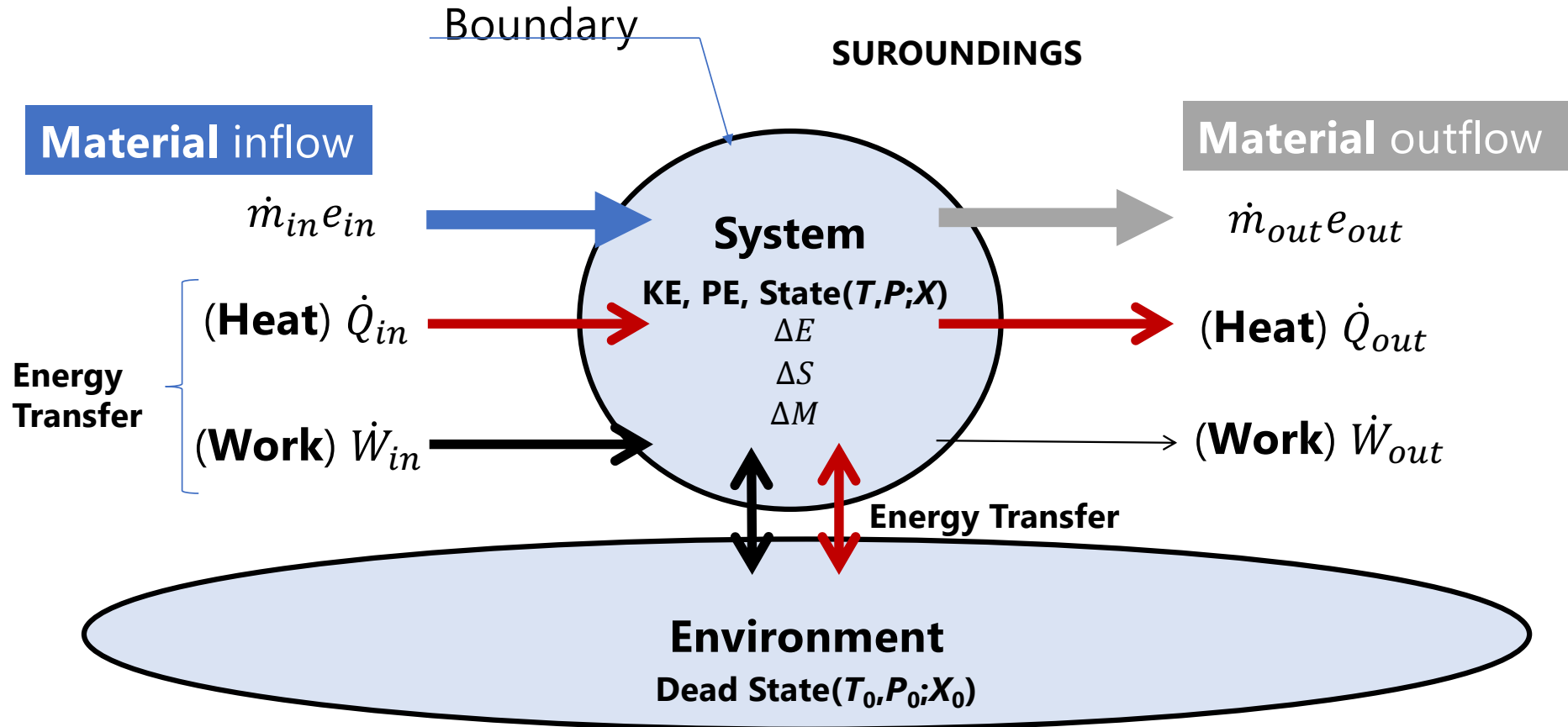
Source: Elhacham, E., Ben-Uri, L., Grozovski, J. *et al.* Global human-made mass exceeds all living biomass. *Nature* **588**, 442–444 (2020). <https://doi.org/10.1038/s41586-020-3010-5>

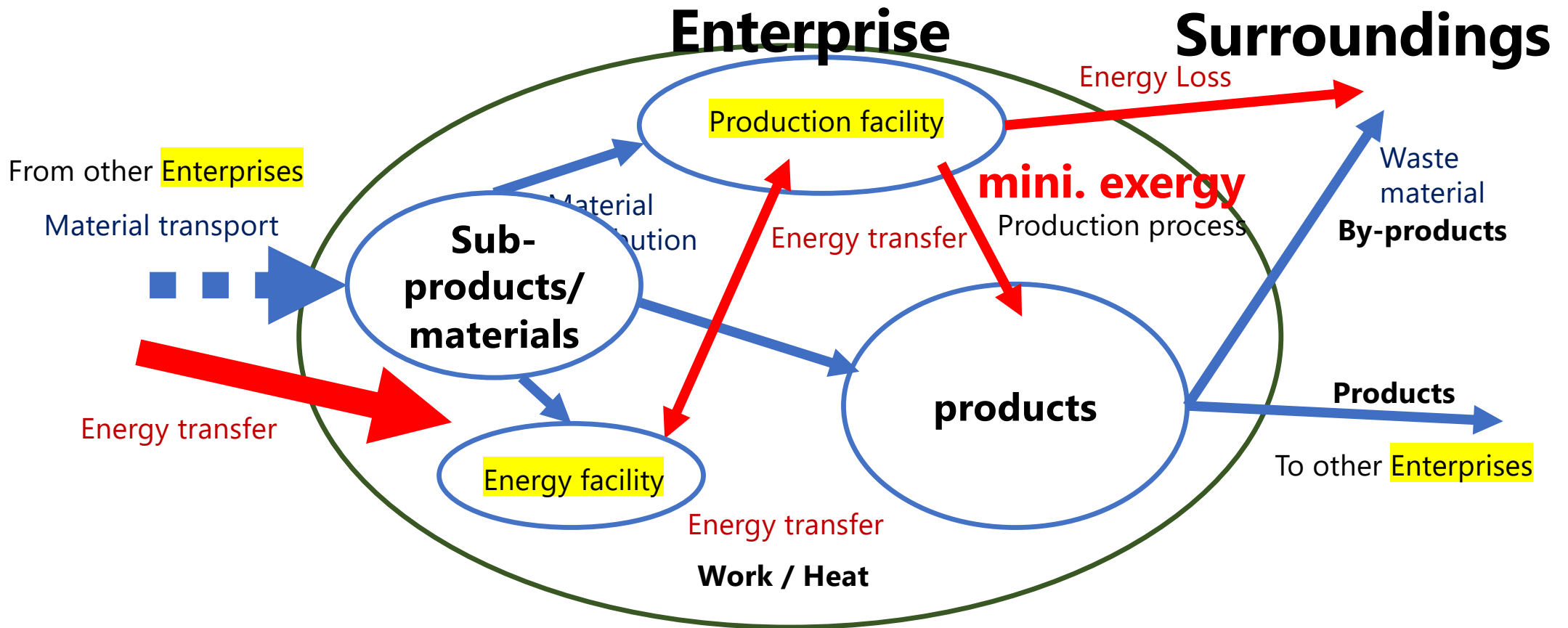
- Human productive activities on earth involve the use of energy.

Manipulating resources (**energy and material**) changes the world

Thermodynamics

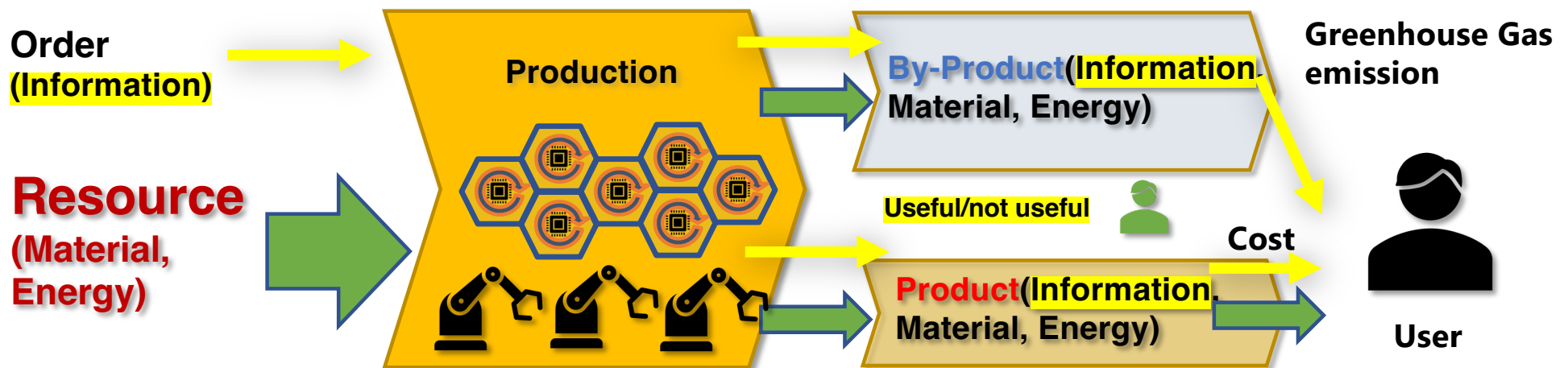






Information flow along with **material/energy** flow in Industrial facility

From “**linear**” material flow to “**closed loop**” in order to **recycling** finite resource

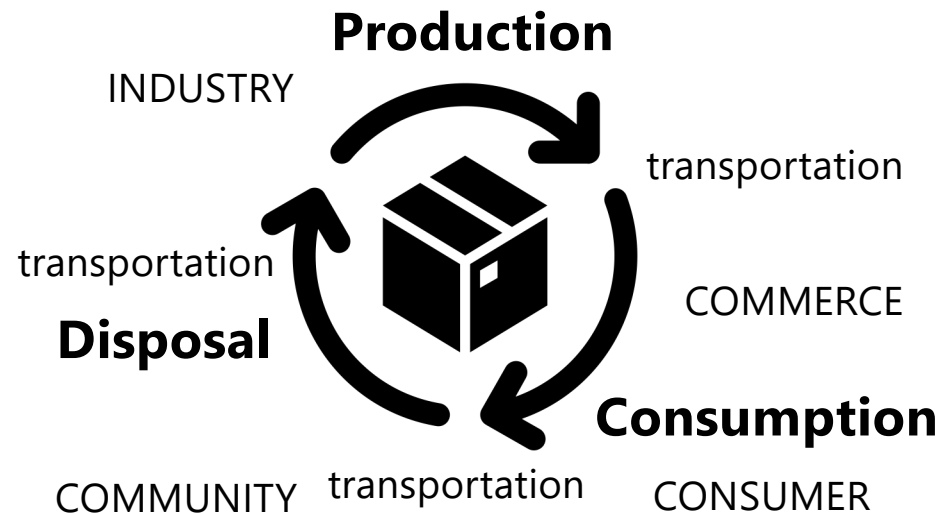


Leaner process of production

Goal; Change lifecycle of products, from design to production, consumption and disposal.

Sustainable
Circular material flow, including **by-products**

How can we achieve the goal?



Long period; **Planning**

How to **design** a (large) **energy system**?



System optimisation for investment

Regarding future: forecasting, but still **uncertain**

Setting an **Ideal goal**

Short period; **EMS**

How to **operate** a (complicated) **energy system**?

Responding quickly

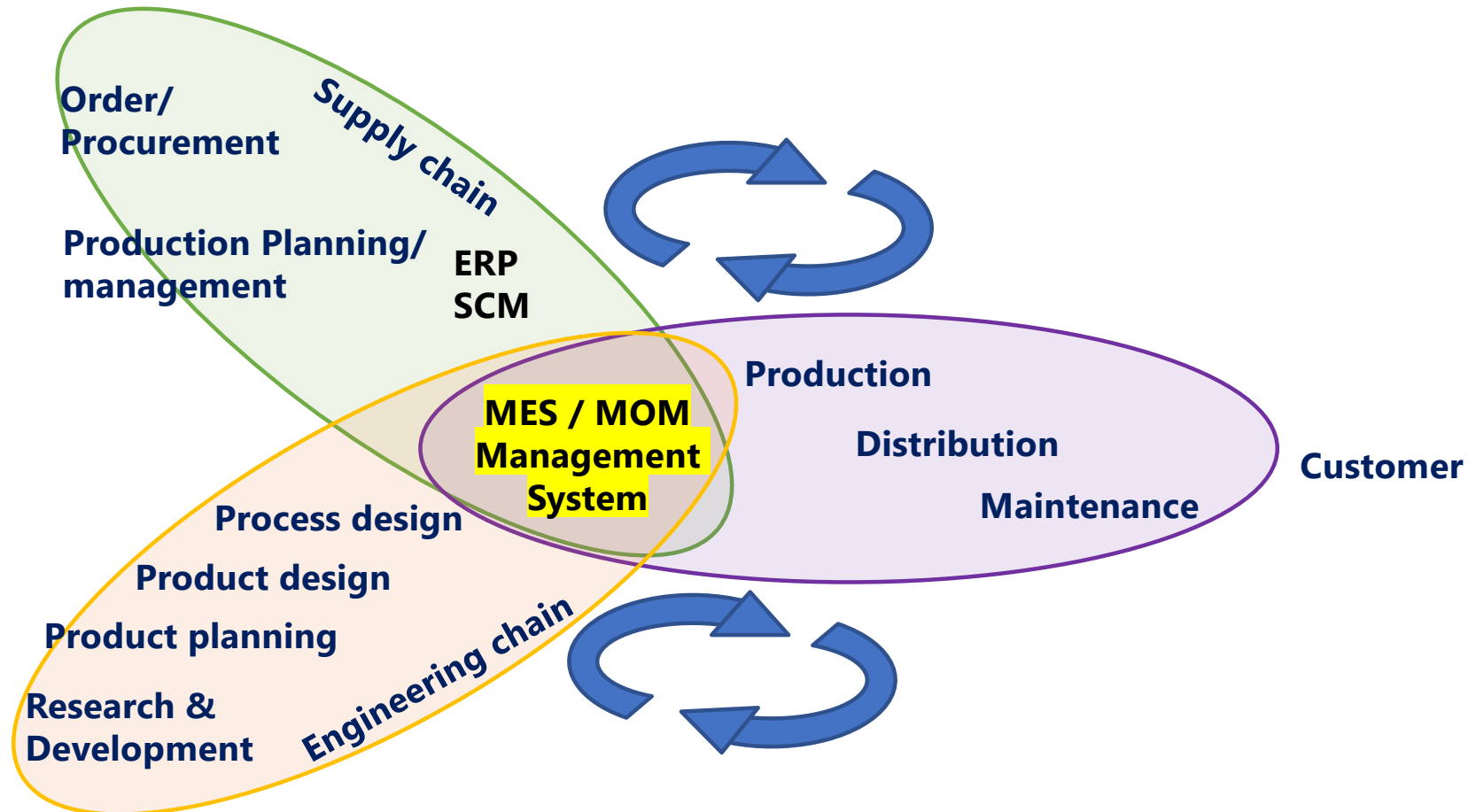


System optimisation for operation

Regarding future: **uncertain** change

Forecasting

Design large-scale complex systems while considering **optimal operation**



- Major changes in energy systems configuration are inevitable
 - From fossil fuel to renewable resource
- The system is large and very complicated
 - Systematic approach

Set up an **ideal energy system** and actively **commit** to its realization.

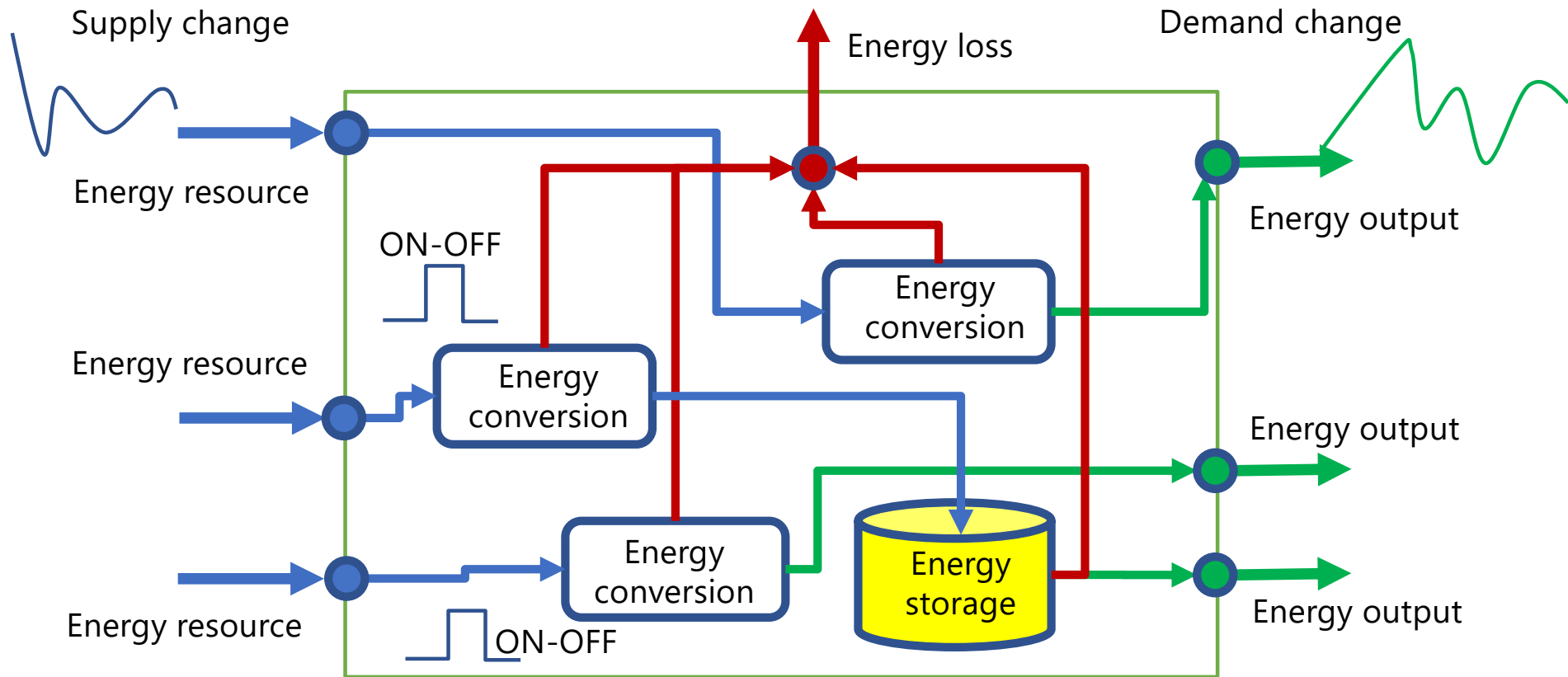
Develop **optimal operation** mechanisms for energy facilities.

EMS can contribute to transition to sustainable energy system with optimal operation.

- Example of EMS
 - **Development of optimal operation model for demand side energy resources corresponding to demand response**
- What is **Energy Management System?**
 - General definition by EnMS, ISO 50000 series
 - Fundamental functions for EMS
 - How can we classify the system; Automation Levels

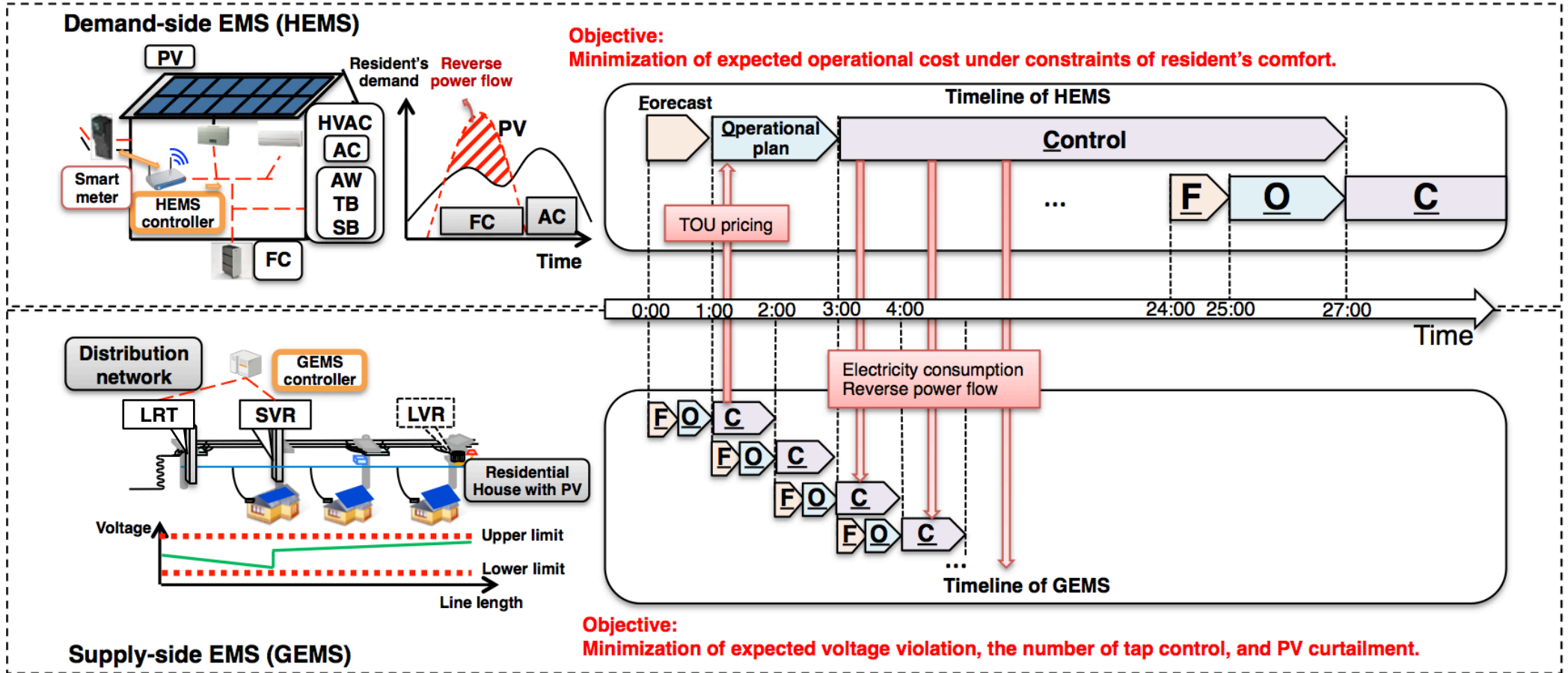
Designing **optimal** system configuration to meet demand/supply change

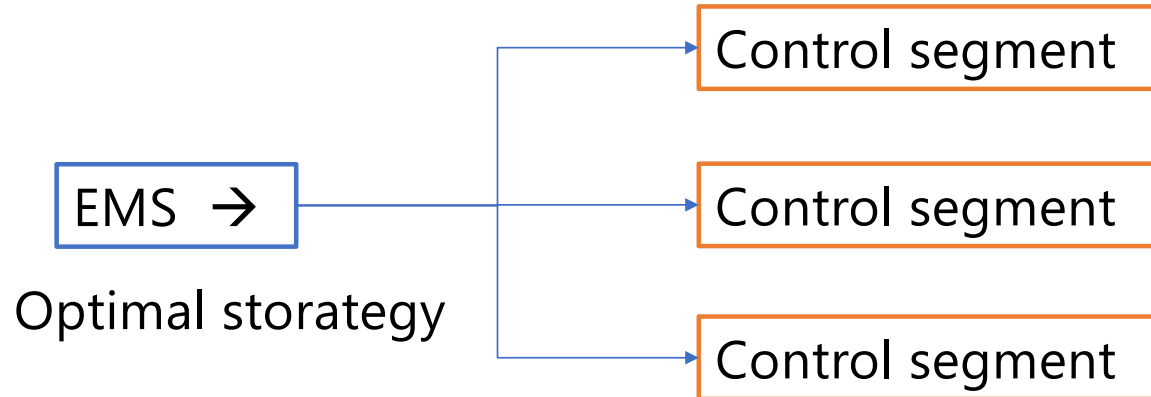
>>> Most simple configuration



Optimal operation with uncertainty: MPC

Energy demand uncertainty





When and Which facility?

ON-OFF/start-stop



Set-point value

Which operation mode? (objectives: CO2 emission min.?, Low cost op?)

Mixed-Integer Programming model (MIP model)

デマンドレスポンスに対する 需要家側エネルギー資源の最適運用モデルの開発

*1 Yusuke Shiga, Akira Yoshida, Yoshiharu Amano, Impact for CO2 Emission Reduction by Ice Storage Tank in District Cooling System Using Variable Renewable Energy, Proceedings of ECOS 2022, Copenhagen, 2022.

*2 Akira Yoshida, Yoshiharu Amano, Multi-horizon Forecasting and Operational Planning Method of Energy Storage Under a Demand Response, Proceedings of ECOS 2022, Copenhagen, 2022.

Development of optimal operation model for demand side energy resources corresponding to demand response

Application: Water Distribution System and District Heating and Cooling System

ACROSS Dr. Akira YOSHIDA and Yoshiharu AMANO
AMANO LAB, Waseda Univ.

- Develop and evaluate an optimisation model for **virtual power plant (VPP)** that can be easily applied to arbitrary plants to generate arbitrary power profiles
- ✓ **Digitalization** by a method for estimating the **connection relationship between entities based on diagrams and texts in reports by AI**
- ✓ **Data-driven model identification**; Estimation of **physical models** from process variables based on conservation laws
- ✓ **Time-series forecasting** with **quantiles** as exogenous variables
- ✓ Application of **annealing technology** to solve large-scale problems that cannot be solved by existing mixed-integer linear programming (MIP) solvers; inspired by **quantum computation**





Aggregator

- Limited profitability and opportunity: **10 EUR/kW** for DR at most.
- No **control system** modifications due to limited budget.
- Plant operators have supply **responsibilities**.
- **Retrofitting** the Information system to the existing control system would be suitable.

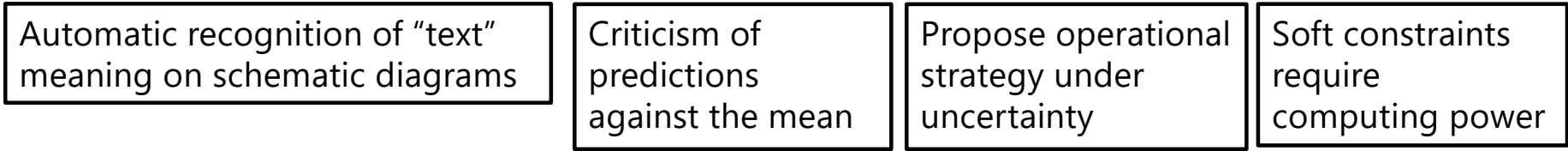
Given specification



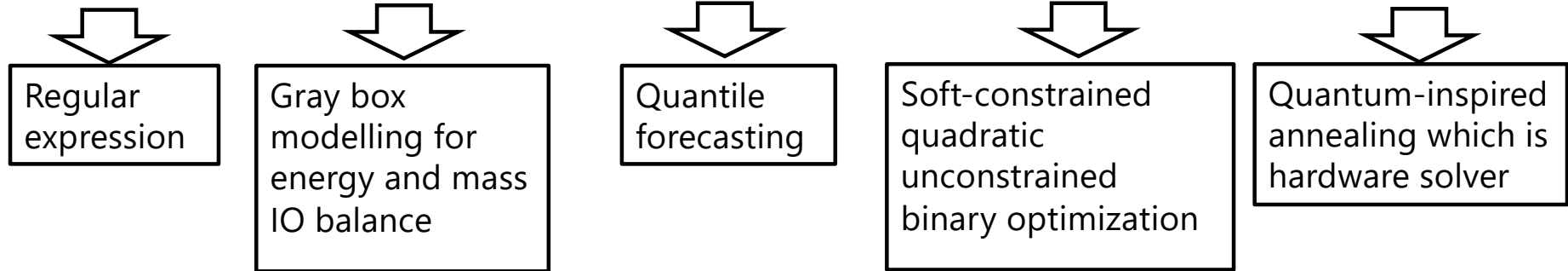
Process variable



Issues



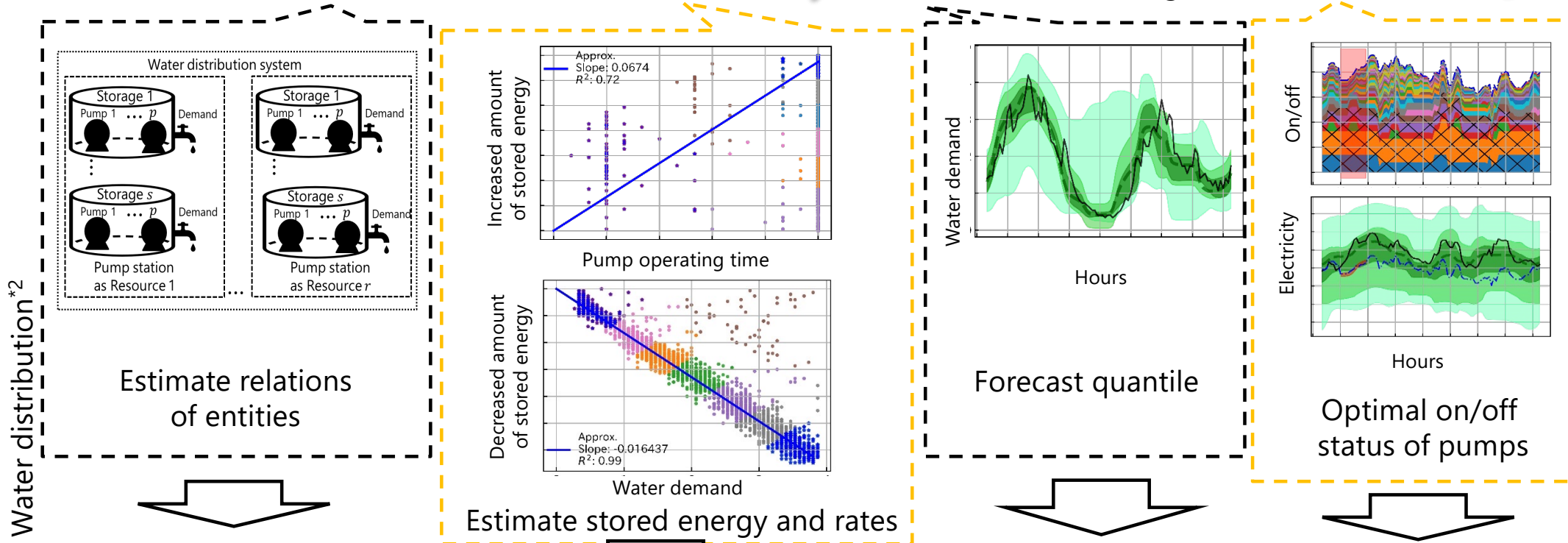
Solutions



A Virtual Power Plant for Demand Response

Yoshiharu AMANO, @SICE2022 2022/9/7

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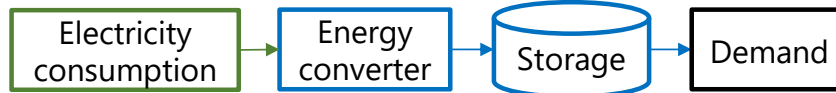


Model the system from limited information

Describe different processes as a common abstracted model

Quantify uncertainty of forecast by quantiles

Decision support under uncertainty

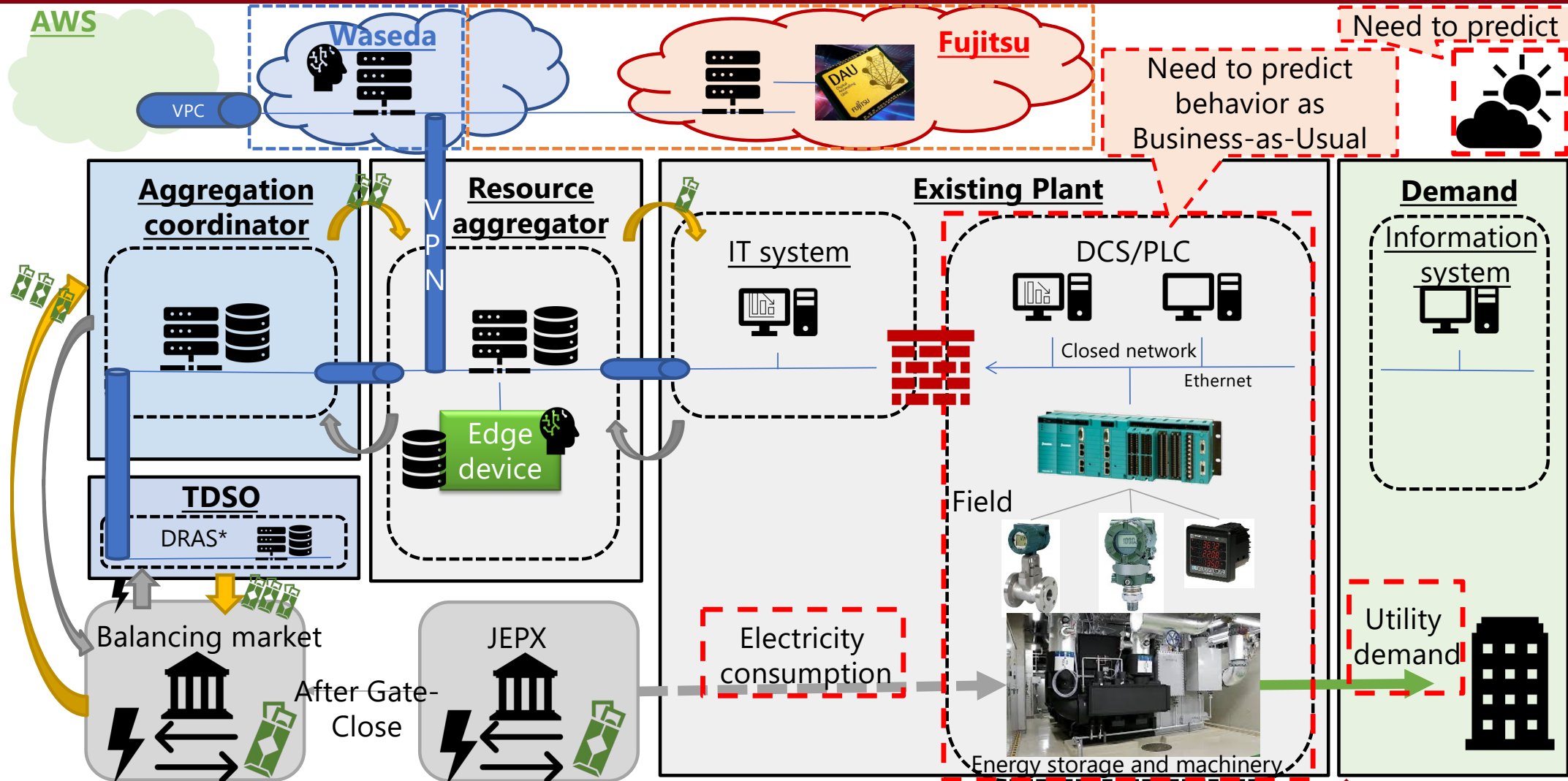


AMANO LAB: Energy and Systems Engineering for Smart Society

DR Overview: data, electricity, cash flow

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Apply to Water Distribution System (WDS) as an example

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Process variable



Estimate inclusion relations and connections



Correlation analysis



Timeseries forecasting

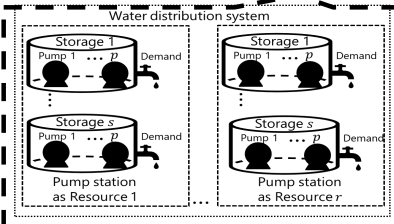


Optimal scheduling



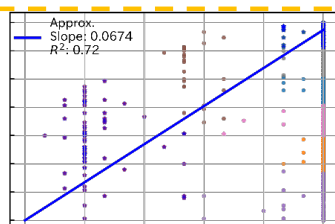
Responsible for supply

Water distribution



Estimate inclusion relations

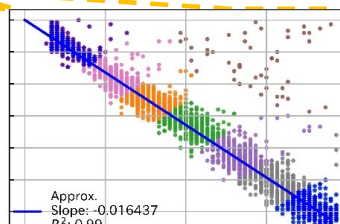
Increased amount of stored energy



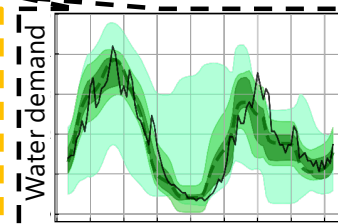
Pump operating time

Estimate stored energy and their speeds

Decreased amount of stored energy



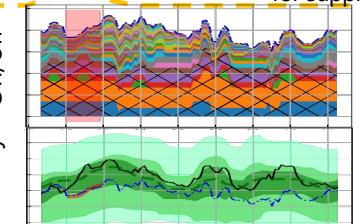
Water demand



Hours

Forecast quantile

Electricity On/off

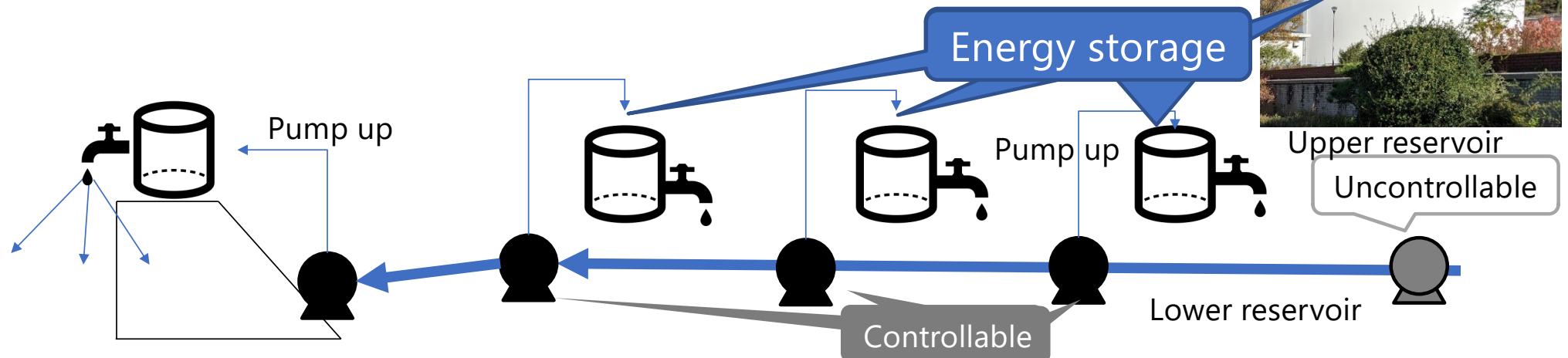


Hours

Propose on/off

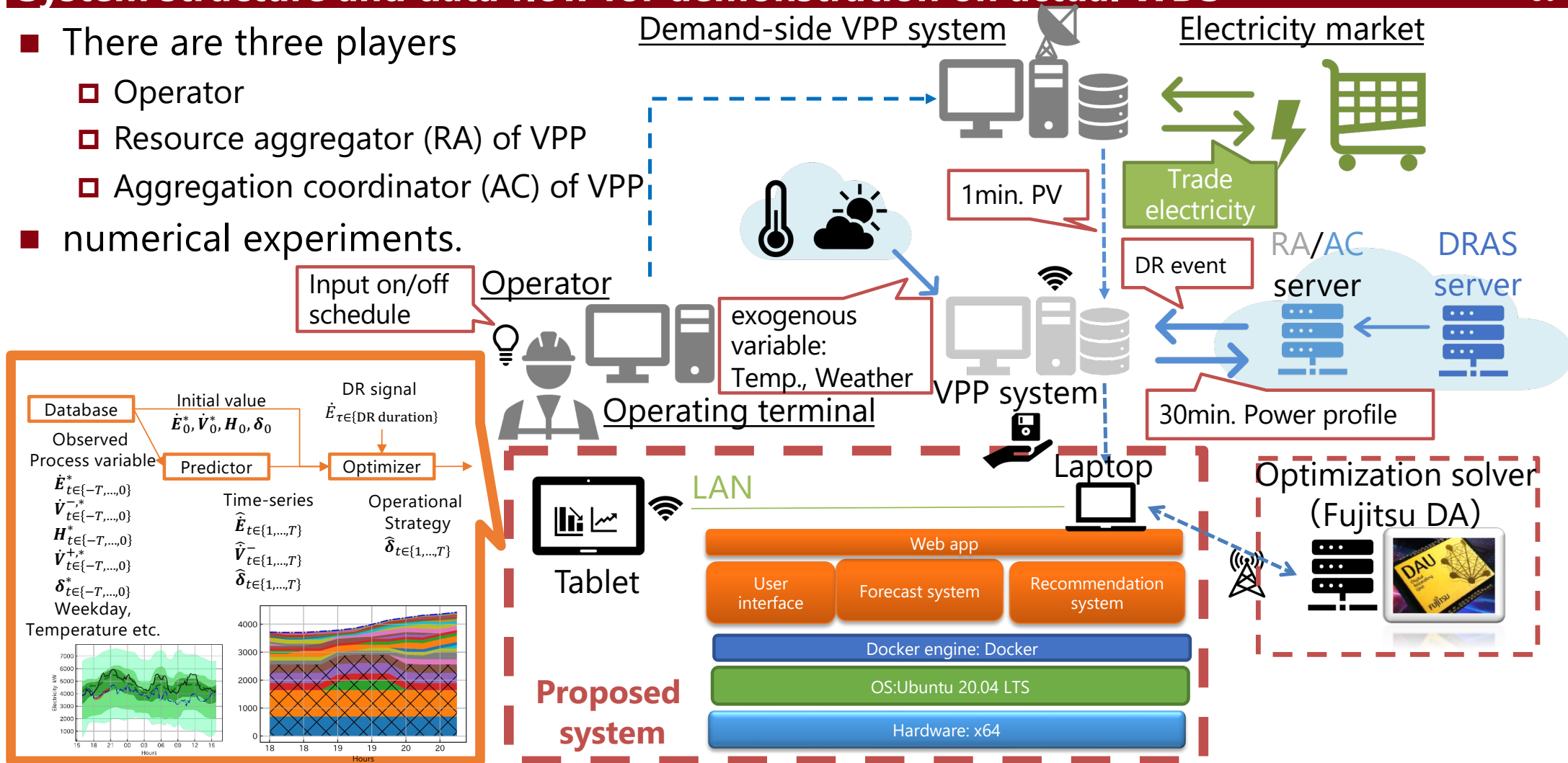
- To be simplified ... $WDS \in \{\text{Pumps, Reservoirs}\}$

Water reservoir on the hill



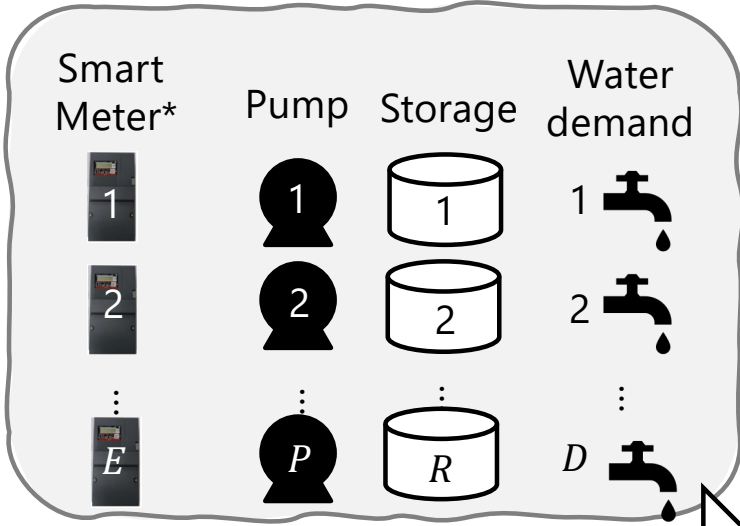
System structure and data flow for demonstration on actual WDS

- There are three players
 - ▣ Operator
 - ▣ Resource aggregator (RA) of VPP
 - ▣ Aggregation coordinator (AC) of VPP
- numerical experiments.

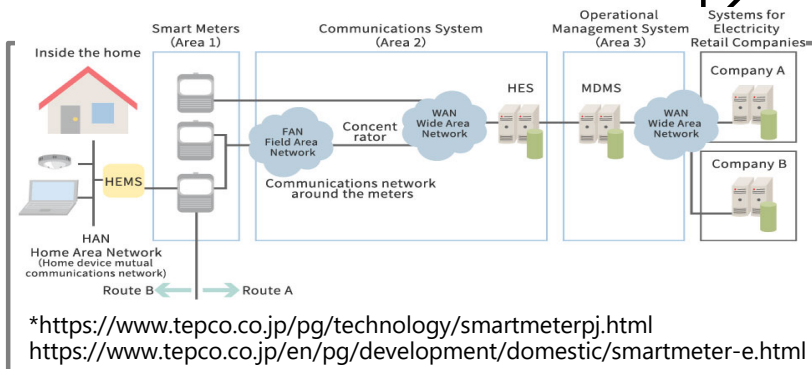


Tag name tells us...

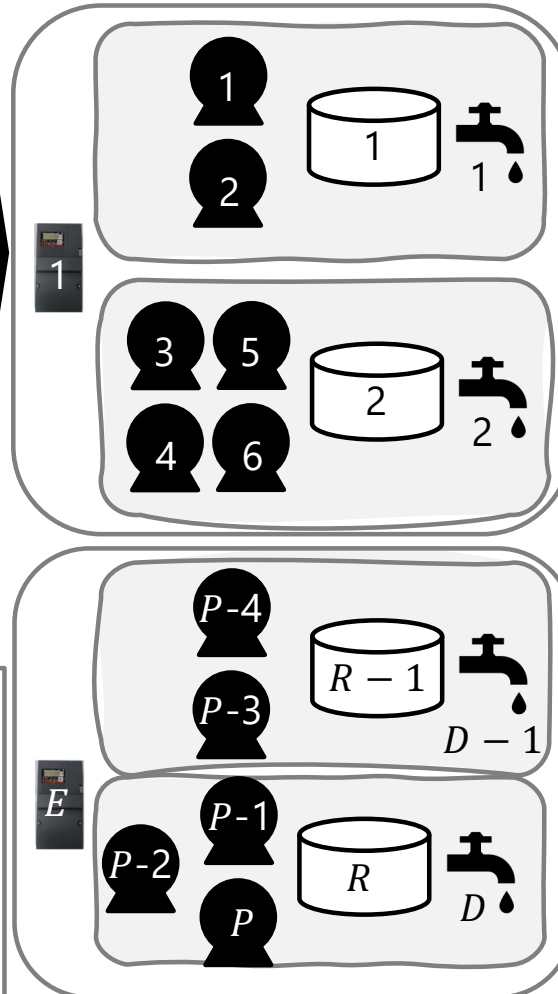
Fragmented content



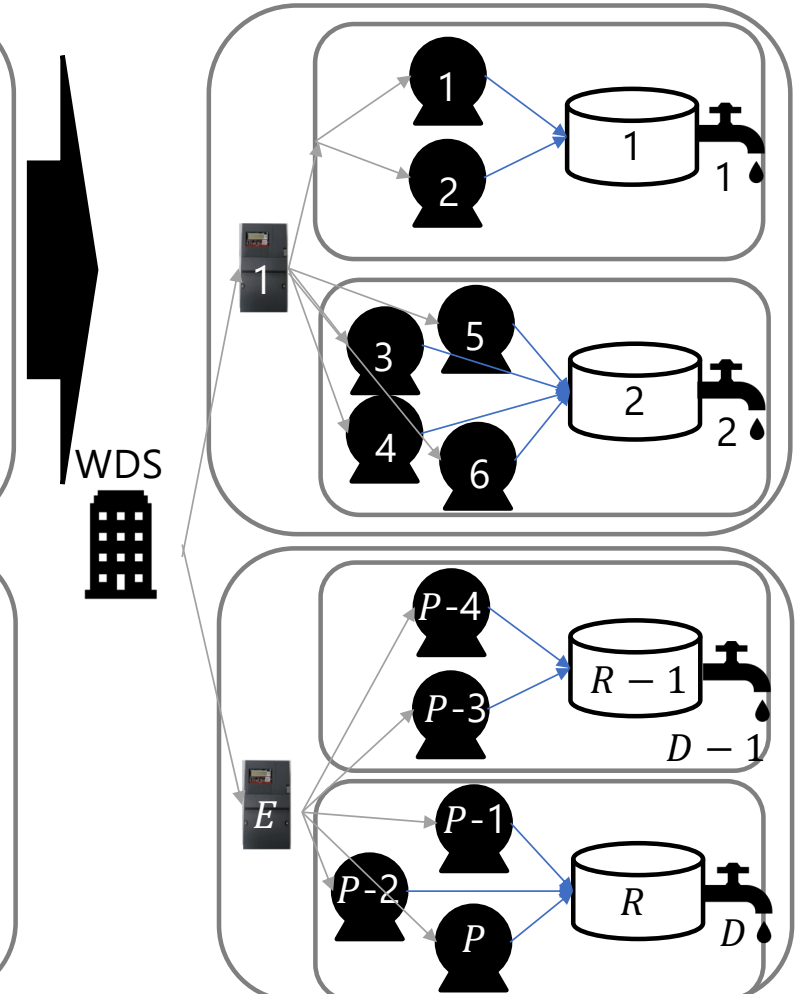
Regular expressions $^{(.*)}hoge(.*)\$$



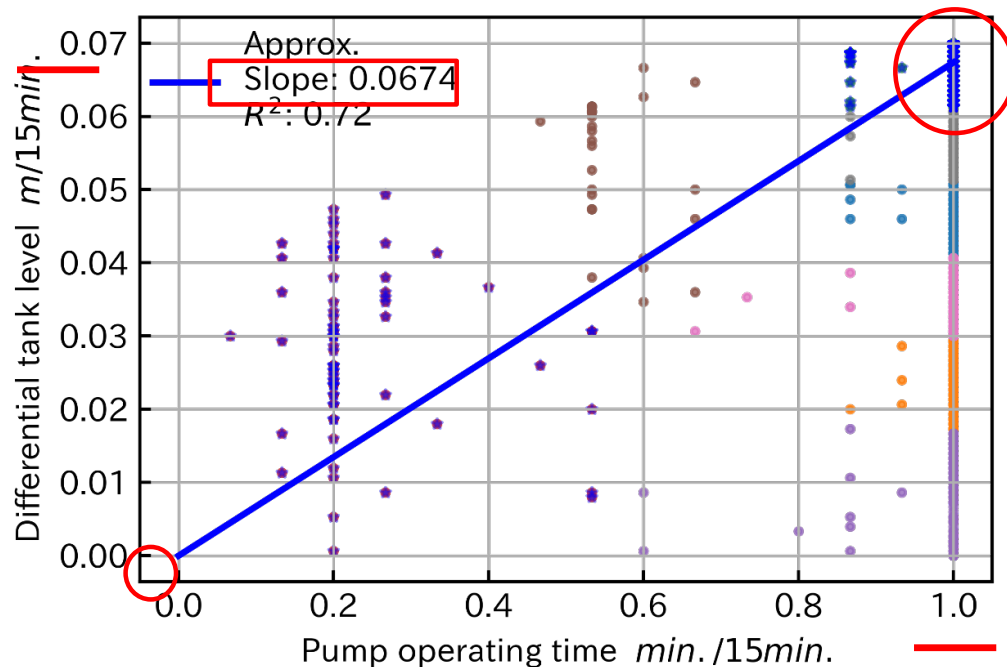
relation of inclusion



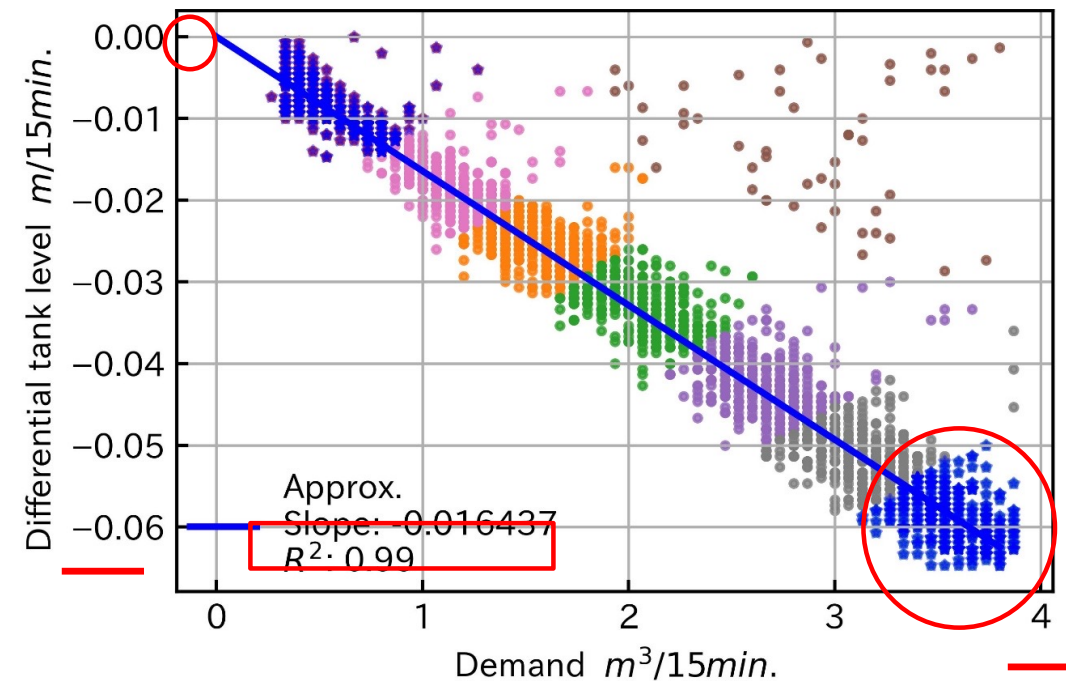
Connection



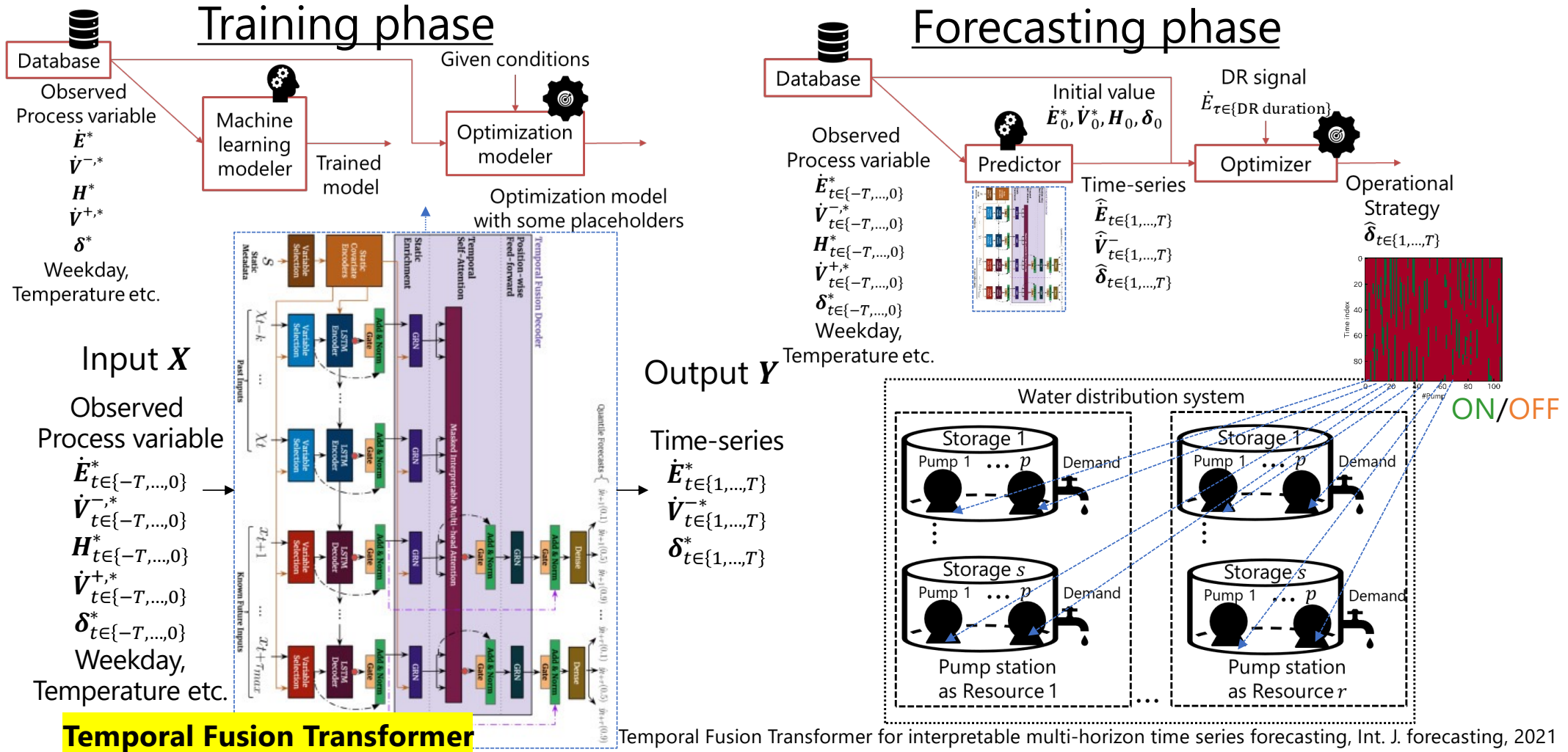
- K-means clustering to classify operating points.
 - Up to 8 clusters in this study
- Connect origin and blue clusters



which means that the water level rises 0.07 m when the pump operates for 15 minutes.



which means that the water level falls 0.06 m when the 4 m³/15min of water demand is occurred.

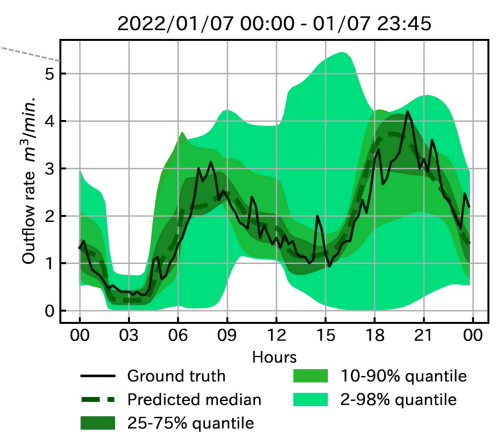
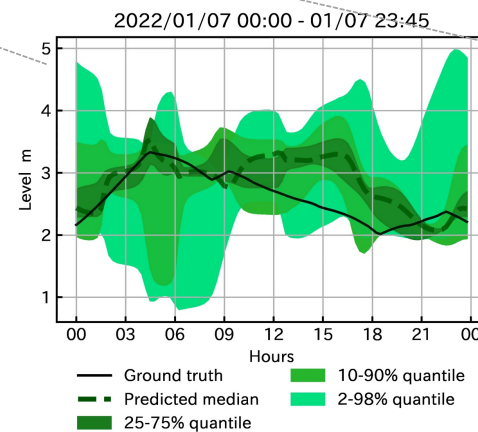
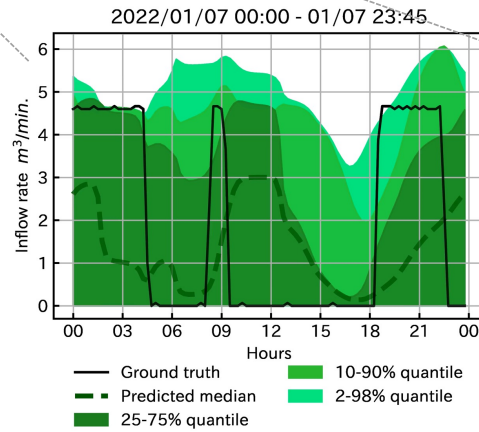
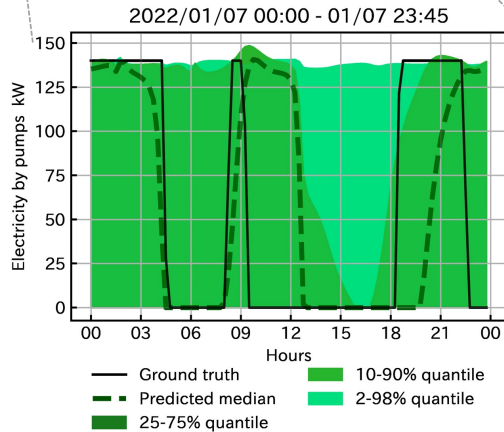
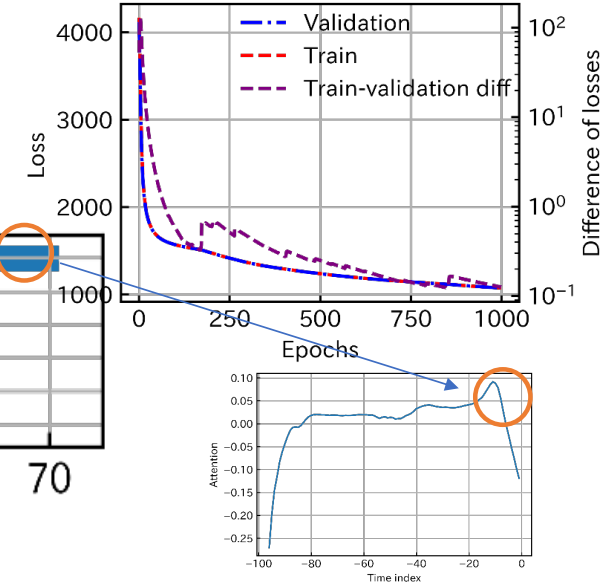
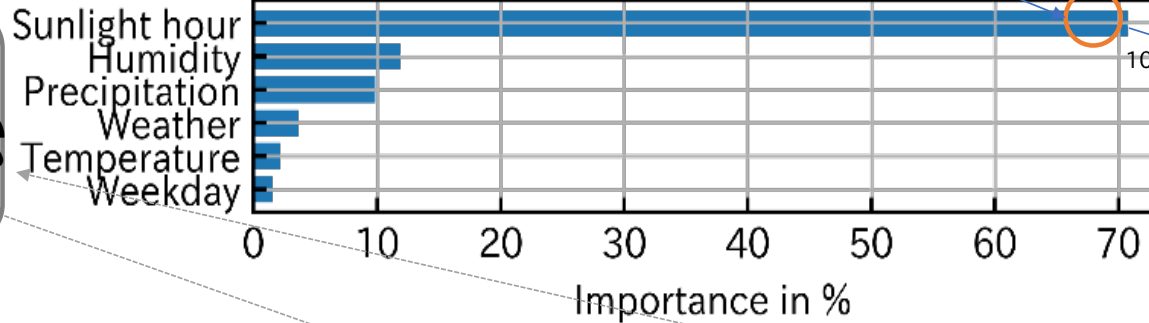
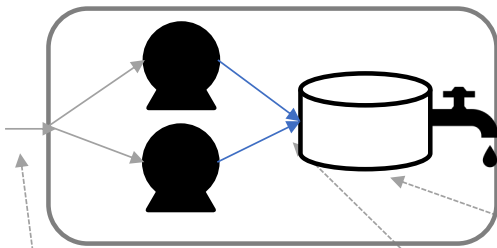


Quantile forecasting for multivariable multi-horizon timeseries

Estimate connection

- Describing inclusions around a reservoir from the tag name

Multi-horizon forecasting



$$\blacksquare \delta^* = \underset{\delta}{\operatorname{argmin}} \rho_1 \sum_{rspt} \left(\delta_{rspt} \bar{\dot{E}}_p \right)$$

$$\blacksquare + \rho_2 \sum_{rsp, t \in \{2, \dots, T\}} \gamma_p \left(\delta_{rspt} - \delta_{rsp, t-1} \right)^2$$

$$\blacksquare + \sum_{tq} \left[\rho_{3,q} \left\{ \hat{\dot{E}}_{rtq} - \sum_{rsp} \left(\delta_{rspt} \bar{\dot{E}}_p \right) \right\}^2 \right]$$

$$\blacksquare + \sum_{rtq} \left[\rho_{4,q} \left\{ \hat{\dot{E}}_{stq} - \sum_{sp} \left(\delta_{rspt} \bar{\dot{E}}_p \right) \right\}^2 \right]$$

$$\blacksquare + \rho_{5,q} \sum_{rst} \left(\hat{H}_{rstq} - h_{rst} \right)^2$$

$$\blacksquare + \rho_6 \sum_{rt} \left(\sum_{sp} \delta_{rspt} \right)^2$$

$$\blacksquare + \rho_7 \sum_{rsp} \left(\sum_t \delta_{rspt} - \frac{\sum_{st} \delta_{rspt}}{\#p_s} \right)^2$$

■ s.t.

$$\blacksquare \sum_{rsp} \delta_{rspt} \bar{\dot{E}}_p \leq \bar{\dot{E}}_r$$

$$\blacksquare \underline{H}_{rs} \leq h_{rst} \leq \bar{H}_{rs}$$

$$\blacksquare \begin{cases} h_{rst} = H_{rs, t=0} + \delta_{rspt} \eta_{rsp}^+ \Delta t - \hat{D}_{rst} \eta_{rsp}^- \Delta t & \text{if } t = 1 \\ h_{rst} = h_{rs, t-1} + \delta_{rspt} \eta_{rsp}^+ \Delta t - \hat{D}_{rst} \eta_{rsp}^- \Delta t & \text{otherwise} \end{cases}$$

$$\blacksquare \dot{E}_\tau^{Base} - 1.1 \dot{E}_\tau^{DR} \leq \sum_{rsp} \delta_{rspt} \bar{\dot{E}}_p \leq \dot{E}_\tau^{Base} - 0.9 \dot{E}_\tau^{DR}$$

$$\blacksquare \min(\hat{h}_{rstq}, \underline{H}_{rs}) \leq h_{rst} \quad \forall t \text{ except for } \tau$$

■ Feature of this formulation

■ Decision variables are binary

■ Objective terms work as constraints that can be violate

■ The weights of each term need to be adjusted in a heuristic way

Operational planning problem by MIP

- $\delta^* = \underset{\delta}{\operatorname{argmin}} \rho_1 \sum_{rspt} (\delta_{rspt} \bar{E}_p)$ Electricity consumption
- $+ \rho_2 \sum_{rsp, t \in \{2, \dots, T\}} \gamma_p (\delta_{rspt} - \delta_{rsp, t-1})^2$ Suppress hunting
- $+ \sum_{tq} \left[\rho_{3,q} \left\{ \hat{E}_{rtq} - \sum_{rsp} (\delta_{rspt} \bar{E}_p) \right\}^2 \right]$ Deviation from the forecasted electricity consumption per pump station
- $+ \sum_{rtq} \left[\rho_{4,q} \left\{ \hat{E}_{stq} - \sum_{sp} (\delta_{rspt} \bar{E}_p) \right\}^2 \right]$ Deviation from the forecasted electricity consumption per water storage group
- $+ \rho_{5,q} \sum_{rst} (\hat{H}_{rstq} - h_{rst})^2$ Deviation from forecasted water level H
- $+ \rho_6 \sum_{rt} (\sum_{sp} \delta_{rspt})^2$
- $+ \rho_7 \sum_{rsp} \left(\sum_t \delta_{rspt} - \frac{\sum_{st} \delta_{rspt}}{\#p_s} \right)^2$ Deviation of pump operating time from average per water storage group
- s.t.
 - $\sum_{rsp} \delta_{rspt} \bar{E}_p \leq \bar{E}_r$ Contracted power
 - $\underline{H}_{rs} \leq h_{rst} \leq \bar{H}_{rs}$ Operable range of water level
 - $\begin{cases} h_{rst} = H_{rs, t=0} + \delta_{rspt} \eta_{rsp}^+ \Delta t - \hat{D}_{rst} \eta_{rsp}^- \Delta t & \text{if } t = 1 \\ h_{rst} = h_{rs, t-1} + \delta_{rspt} \eta_{rsp}^+ \Delta t - \hat{D}_{rst} \eta_{rsp}^- \Delta t & \text{otherwise} \end{cases}$ balancing of water level
 - $\dot{E}_\tau^{Base} - 1.1 \dot{E}_\tau^{DR} \leq \sum_{rsp} \delta_{rspt} \bar{E}_p \leq \dot{E}_\tau^{Base} - 0.9 \dot{E}_\tau^{DR}$ -DR should be $\pm 10\%$
 - $\min(\hat{h}_{rstq}, \underline{H}_{rs}) \leq h_{rst} \quad \forall t \text{ except for } \tau$ Constraint of water level

Feature of this formulation

- Decision variable is only binary
- Objective terms work as constraints that can be violate
- The weights of each term need to be adjusted in an heuristic way

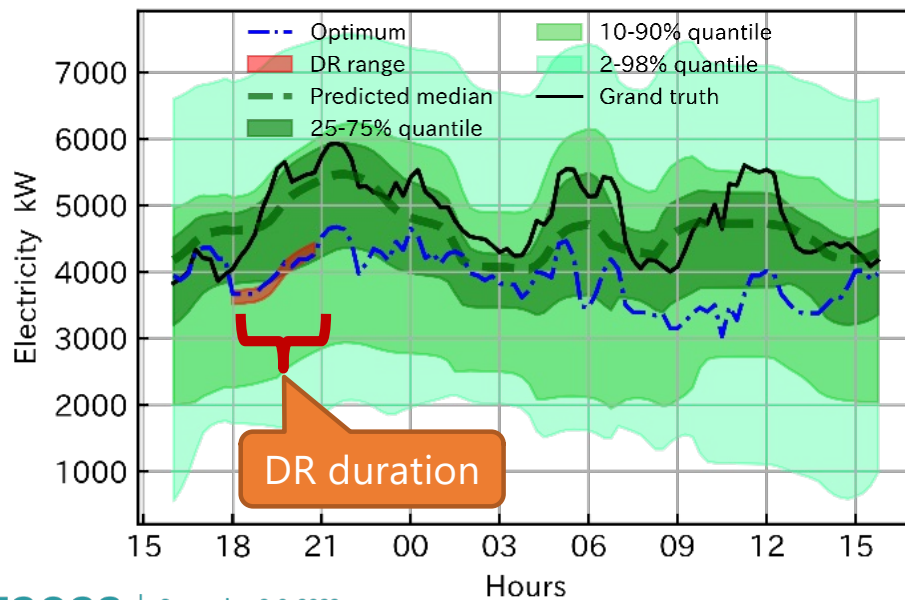
1 MW downtrend DR result optimized by Gurobi

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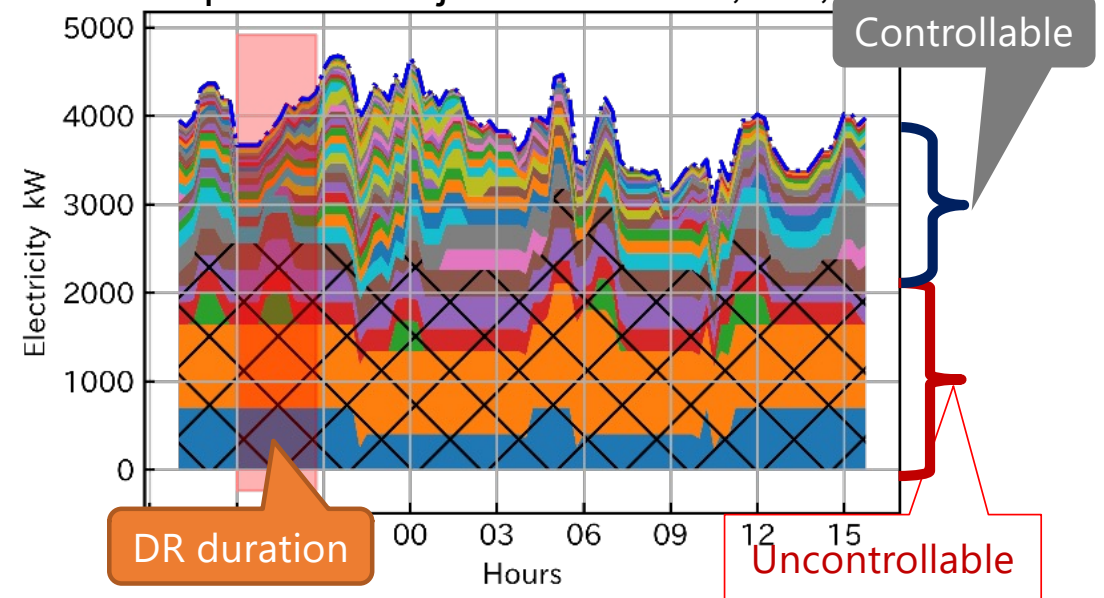
■ Incentive-based DR specification

- 1 MW curtailment
- Three hours
- $\pm 10\%$ success criteria

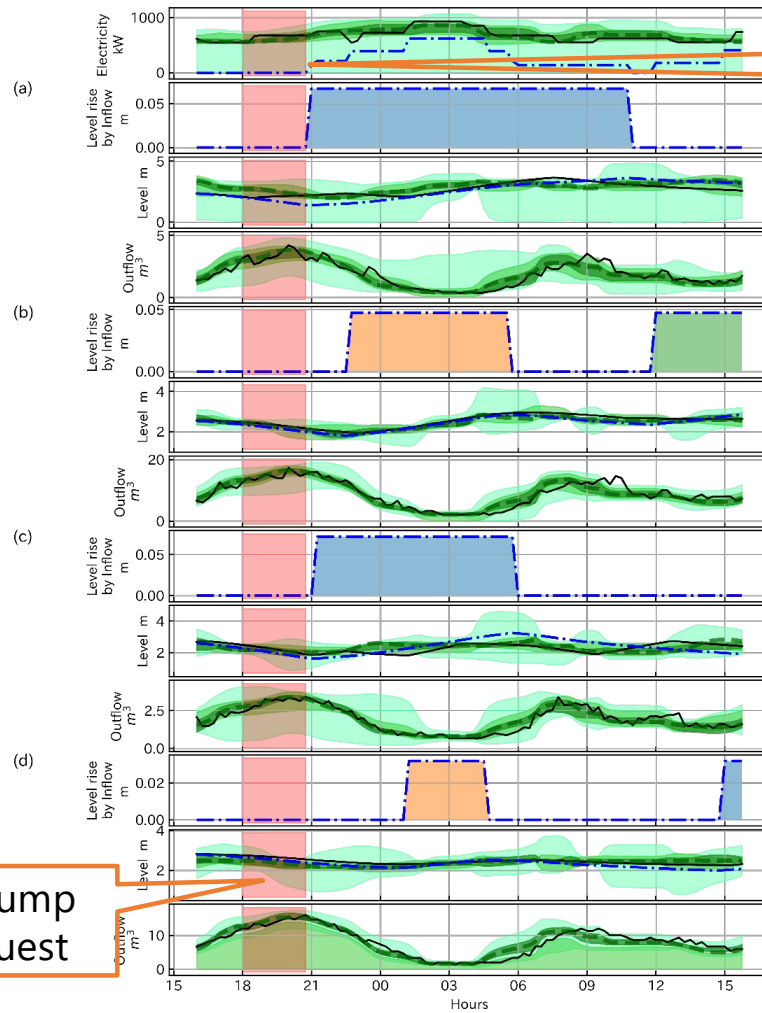


■ Difficult to solve; problem size is too large

- 0.25 hr time step
- #107 / 129 controllable pumps
- #20 smart meters, #40 reservoirs
- #binary decision variables **10,272**
- #quadratic objective terms 1,444,742



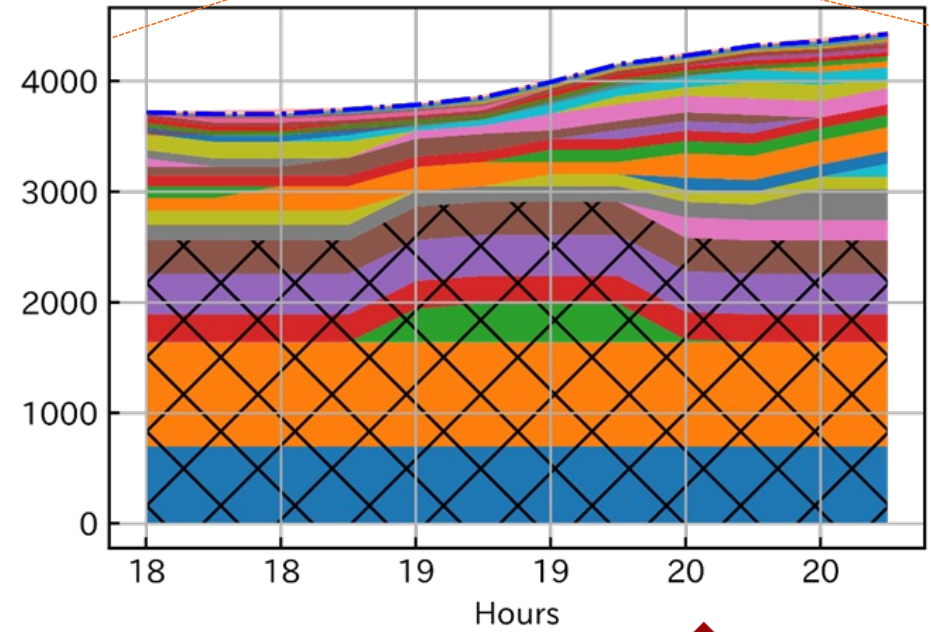
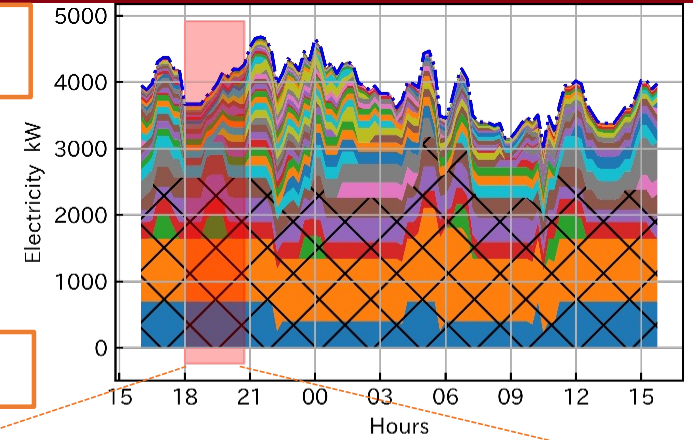
Breakdown of profiles: A reservoir



BAU 700kW
→ DR 0kW

Load balancing

Stopped pump
by DR request

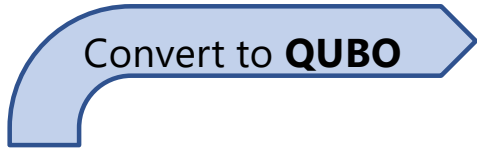


■ DR
■ 25-75% quantile
■ 2-98% quantile
■ 10-90% quantile
— Predicted median
— Grand truth

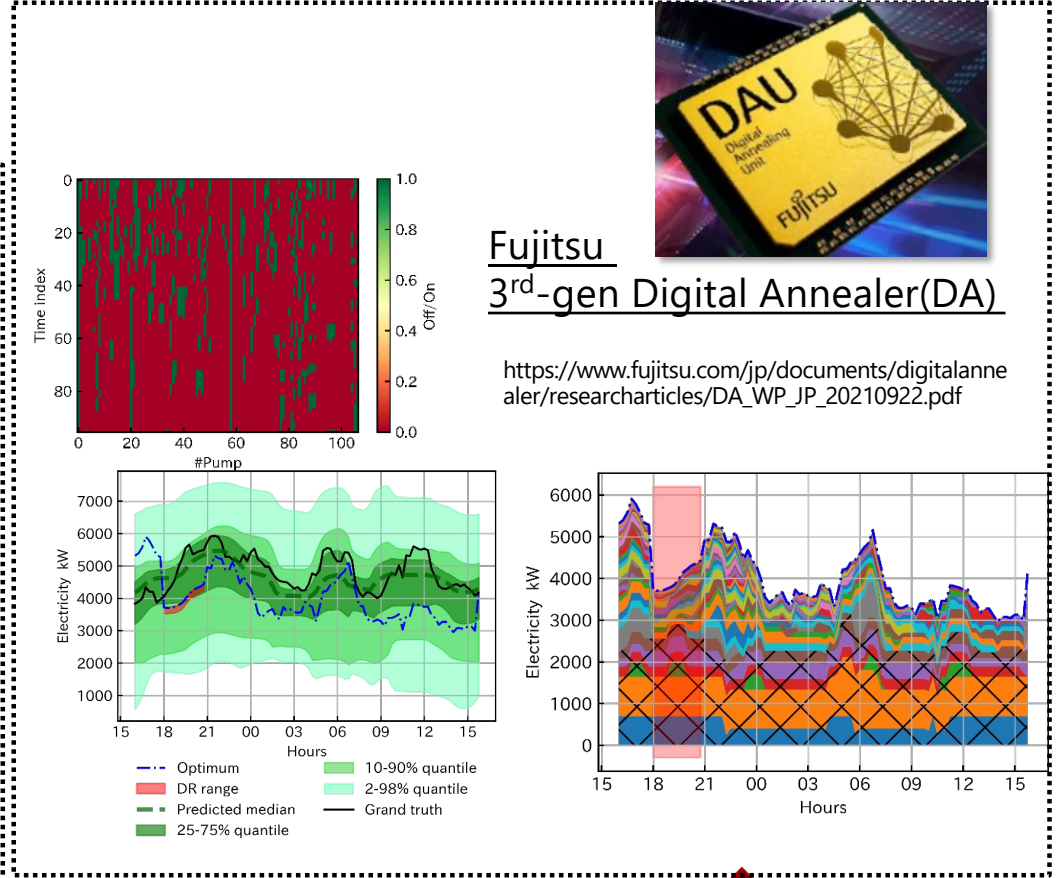
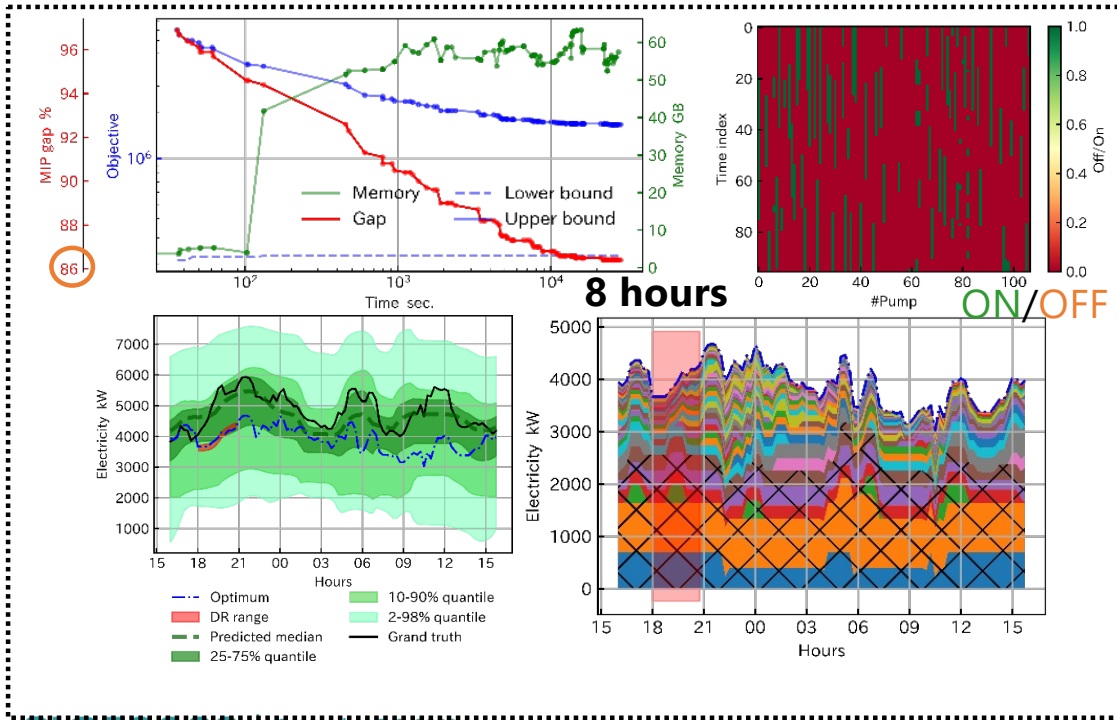
Annealing solver can solve with 60 seconds.

- Operational planning by MIP solver
 - With **86%** MIP-gap, Gurobi took **8 hours**

- Quadratic Unconstrained Binary Optimization(QUBO) solved in **1 minutes.**
 - up to 100,000 variables



General MIP solver, Gurobi



- Developed and evaluated an **optimization model** for **virtual power plant (VPP)**
 - ❑ A method for estimating the connection relationship between entities by text mining method
 - ❑ Input-output relationship modeling of elements based on process variables (PV)
 - ❑ Time-series forecasting with quantiles as exogenous variables
 - ❑ Annealing technology for quantizing and solving problems that cannot be solved by existing mixed-integer linear programming solvers
 - ❑ Methodology for Discretizing Continuous Variables to Formulate Binary Optimization Problems

Analytics tools are ready for modeling the **energy management problem.**

Large-scale combinatorial optimization can be solved with a special hardware!

Management system model can be formulated as optimization problems

Optimization approach is promising for

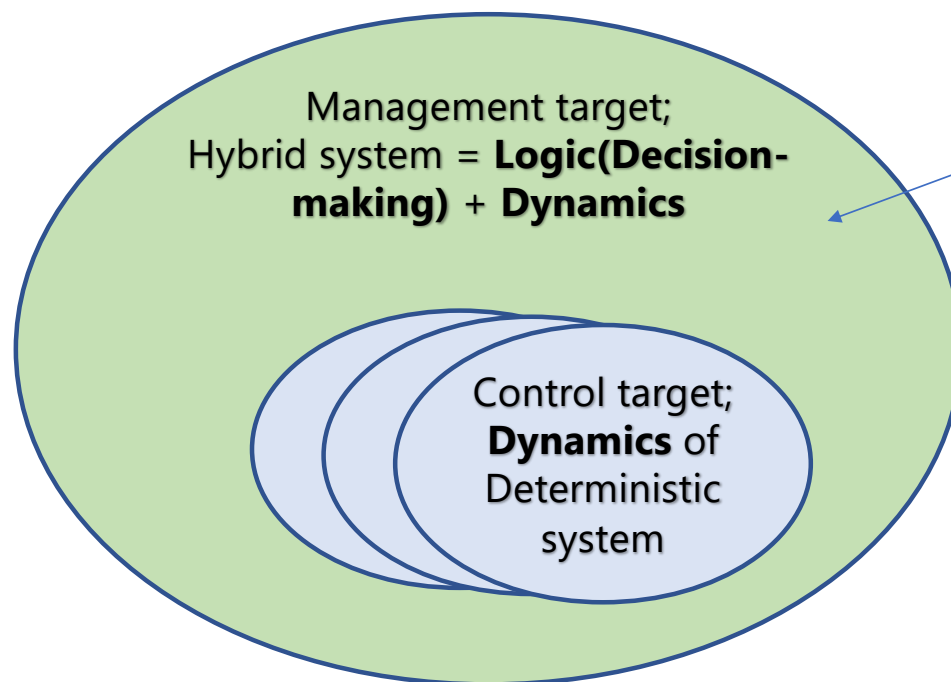
designing large, complicated system and
operating the system

Variety of modeling/formulating theories are available; from **MIP** to **QUBO**

Any question? Ask to the expert: **Dr. Akira Yoshida** by e-mail; a.yoshida@aoni.waseda.jp

- Example of Energy Management System
 - Large-scale hierarchical optimisation of resource aggregation for Demand Response / VPP
- **What is Energy Management System(EMS)?**
 - **Management and Control**
 - **Definition of EMS in ISO, IEC**
 - **Fundamental functions for EMS**
 - **How can we classify the system; Automation Levels**

- **Control**; automatic regulation of dynamic behavior of systems
- **Management**; automatization of decision-making activity with **prediction / forecasting** on **uncertain system**; system of systems



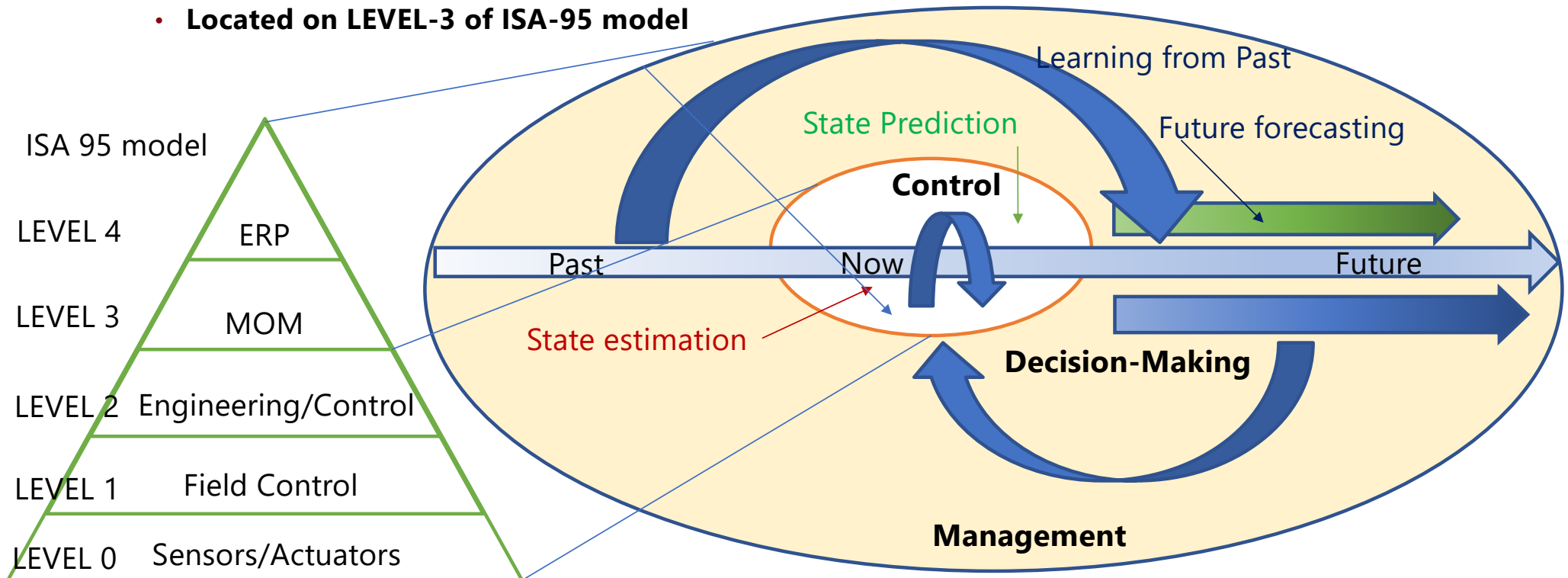
**Multi-objective
Optimisation
with prediction /
forecasting on
uncertain system**

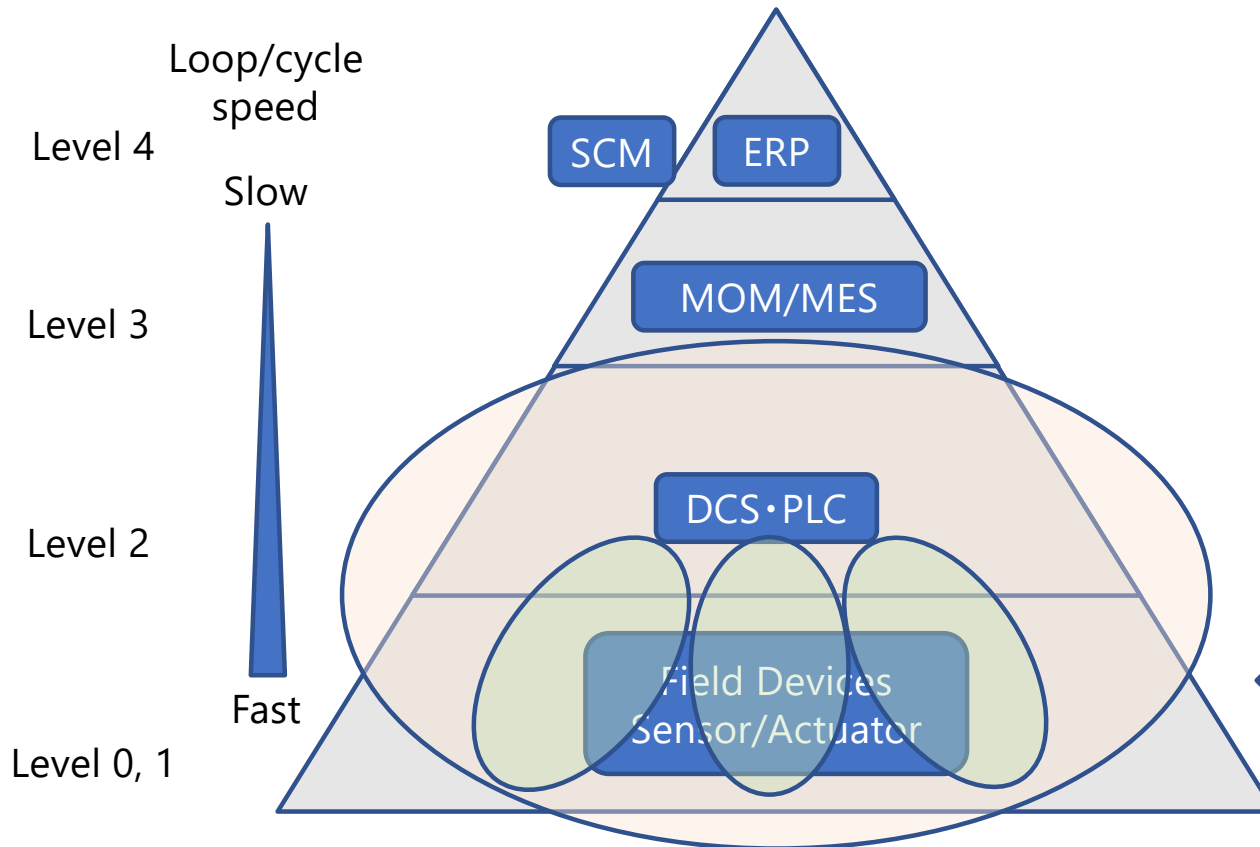
MINLP:
Mixed Integer
Linear/Nonlinear
Programming

SCP: Sequential
Convex
Programming

SQP: Sequential
Quadratic
Programming

- Objectives ; **manage** the system to achieve **goals**
 - ❑ **Represent** Plant; Identification of the target system's behavior model
 - ❑ **Configure** system including feedback loop;
 - ❑ Management system is composed of functions of sensing/analysis/optimization and instruction
 - **Located on LEVEL-3 of ISA-95 model**



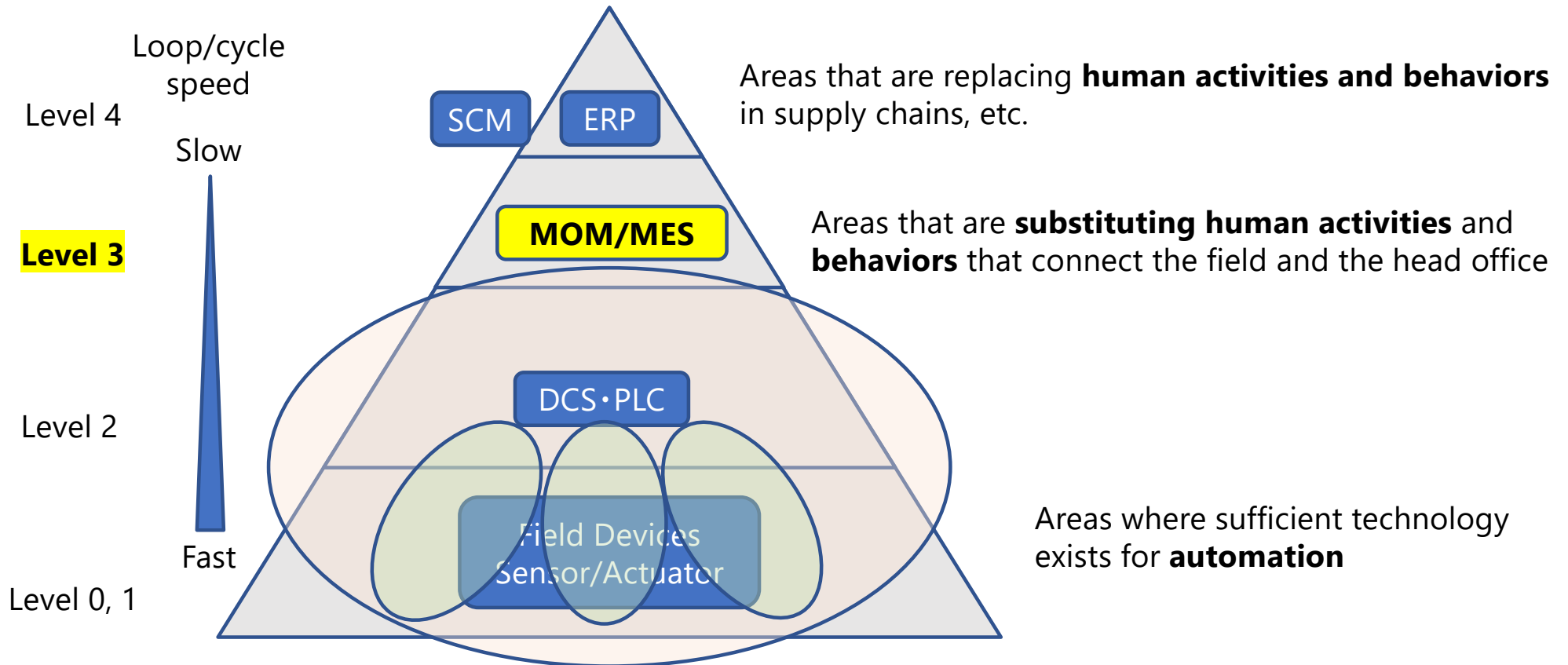


ERP: Enterprise Resource Planning
SCM: Supply Chain Management
MOM: Manufacturing Operations Management
MES: Manufacturing Execution System
DCS: Distributed Control System
PLC: Programming Logic Controller

It makes sense to establish data connectivity between each level through a common database hub.

It is reasonable to share the data that spans the segments within each level as data after connecting with the Gateway.

ISA95, Enterprise-Control System Integration



<https://blog.Insresearch.com/whats-the-difference-between-mom-mes>

■ management system

set of interrelated or interacting elements of an organization to establish **policies** and **objectives** and **processes** to achieve those objectives

From ISO 50001:2018 Energy management systems — Requirements with guidance for use

■ energy management system

control system designed to **monitor** the environment and the **use of energy** in a facility and to **adjust the parameters** of local control loops to conserve energy while maintaining a suitable environment

From ISO 16818:2008(en)

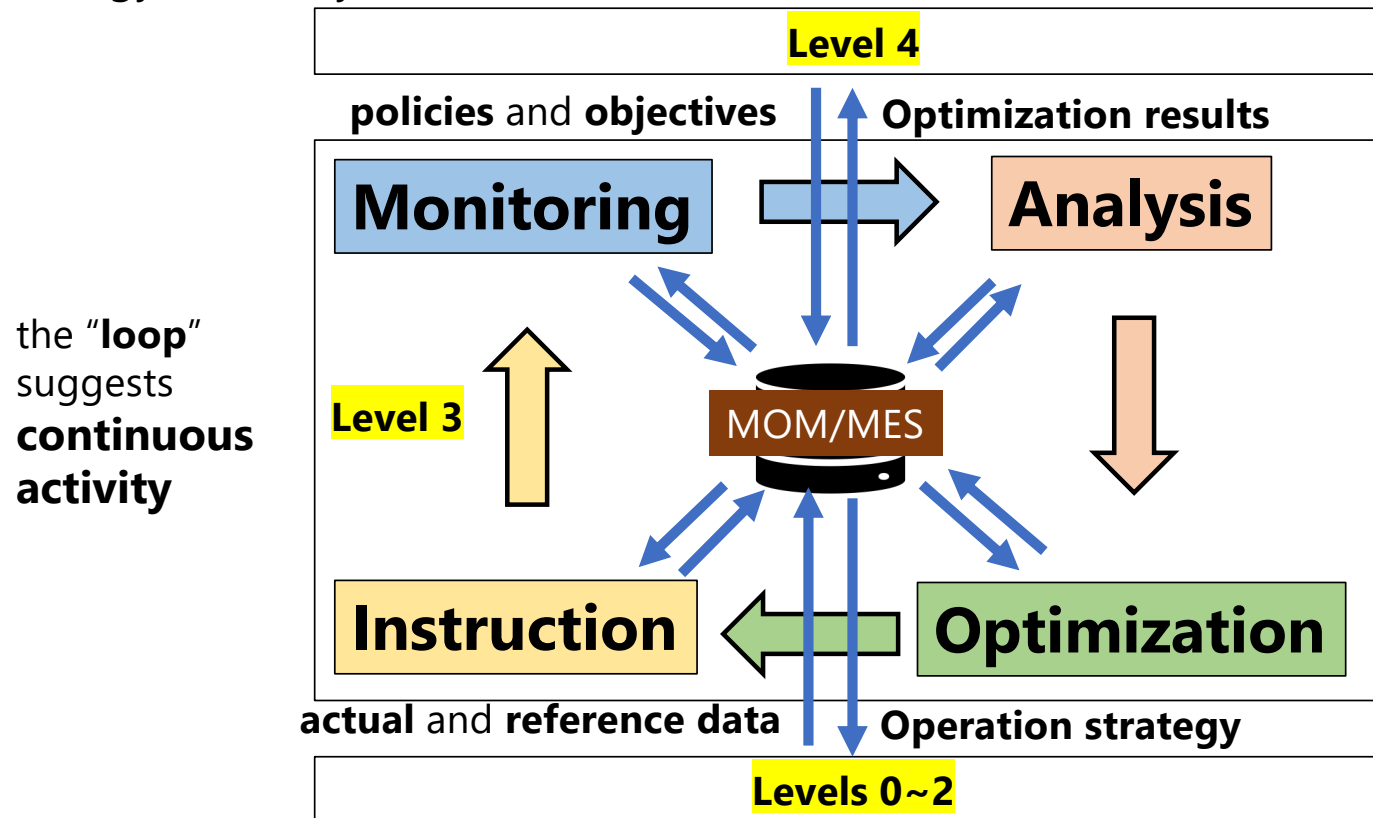
■ energy management

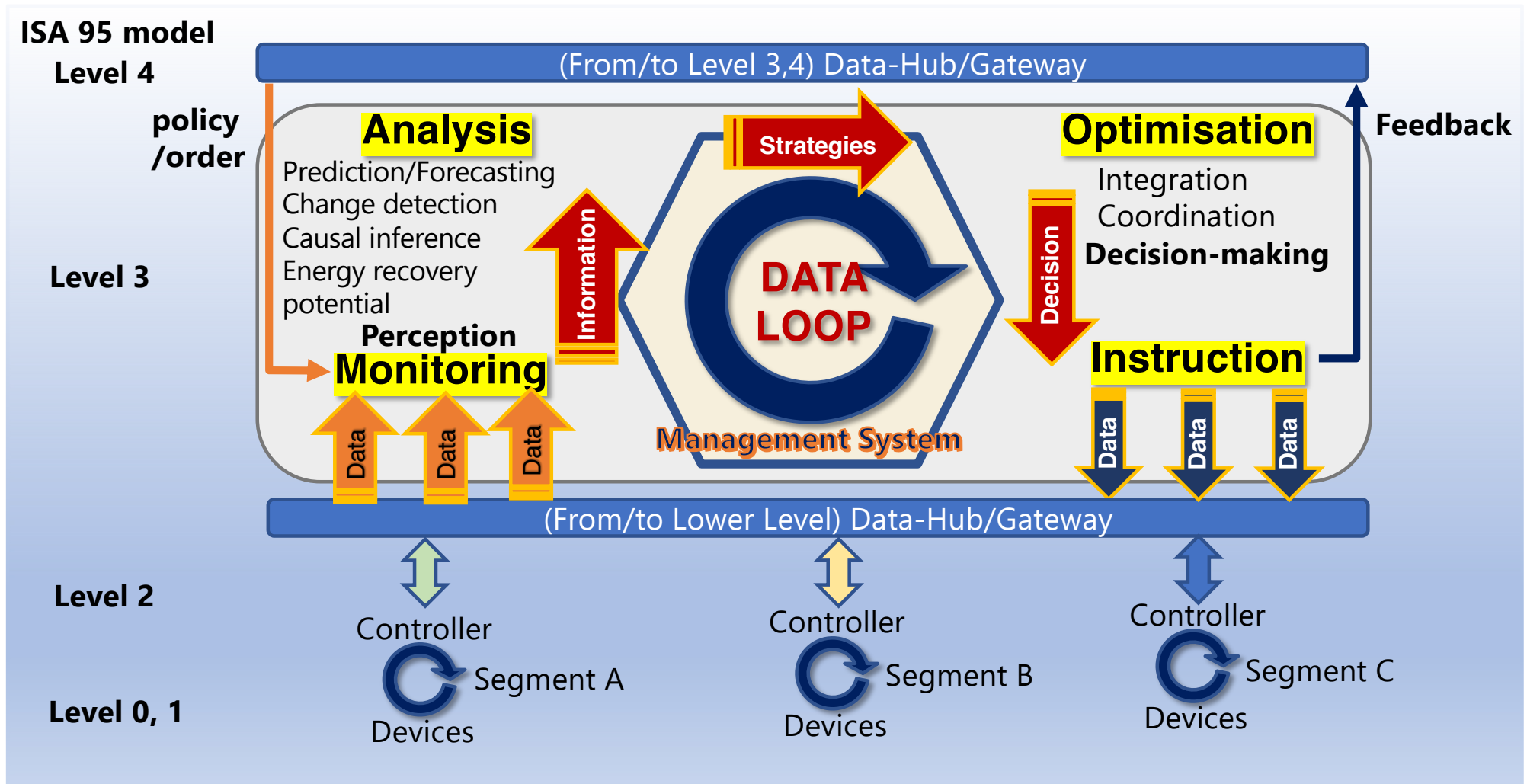
process for **monitoring, analysis, reporting** and **improvement** of energy efficiency

From ISO/IEC TS 22237-7:2018(en)

■ Idea for IEC 63376 FEMS

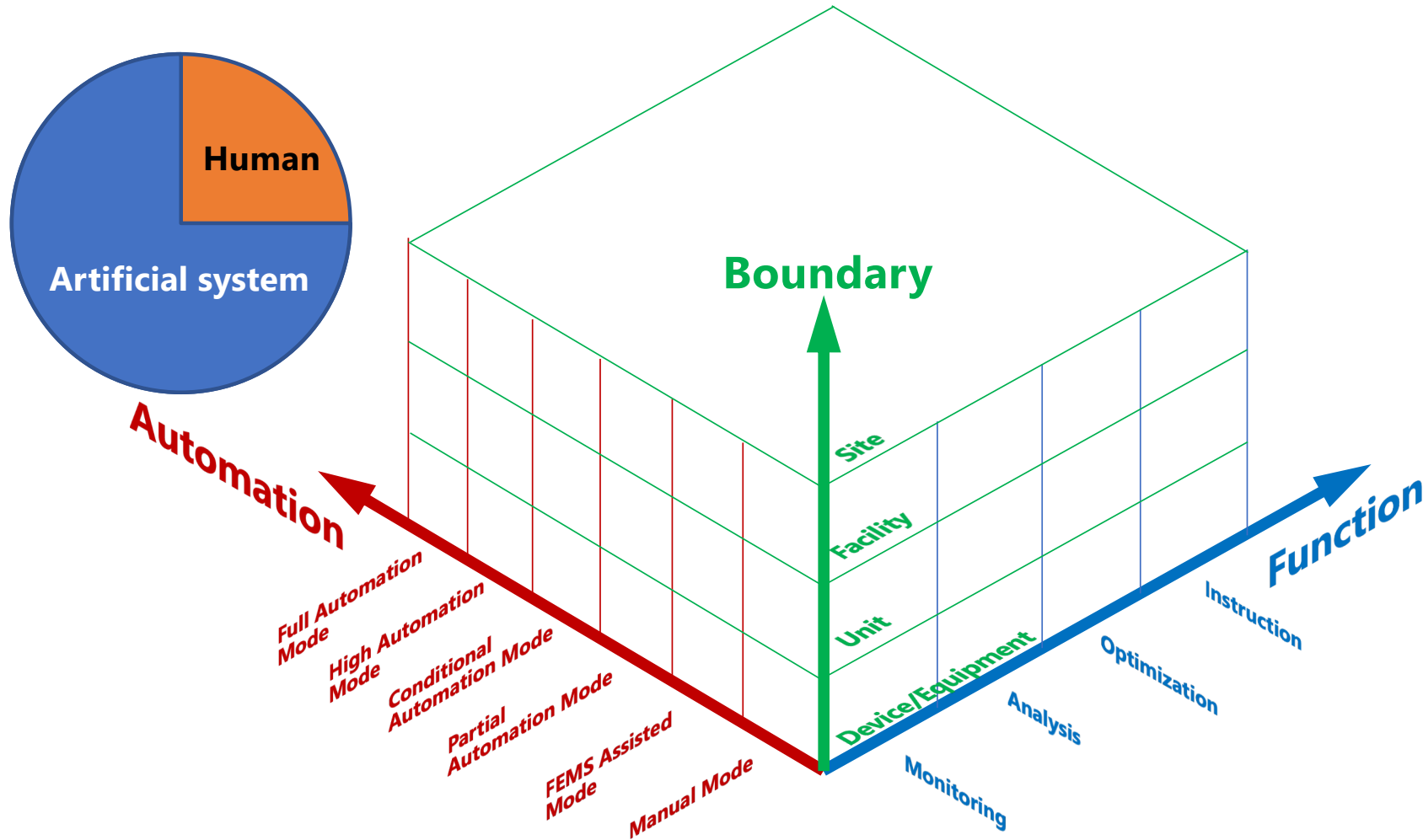
Modified from ISO/IEC TS 22237-7:2018 “process for **monitoring, analysis, reporting** and **improvement** of energy efficiency”





Automation level in EMS

Yoshiharu AMANO, @SICE2022 2022/9/7



Automation and technology???

automatic | adjective **1** (of a device or process) working by itself with little or no direct human control

automation; late 19th century (with reference to a theory that living organisms act in a purely mechanical way): irregular formation from [automatic](#) + [-ation](#).

The current sense dates from the 1940s.

Oxford Dictionary of English

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■ What is **Technology**?

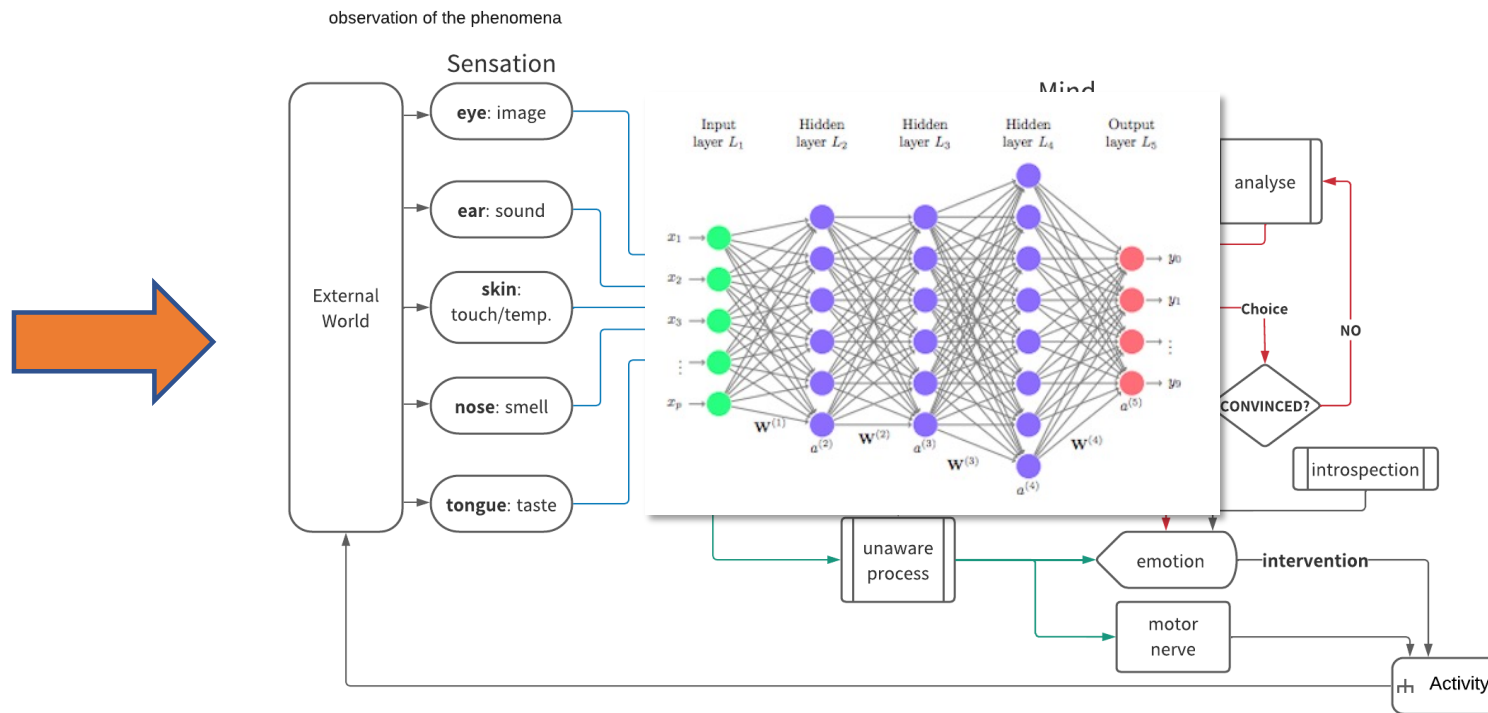
□ **Engineering** is a knowledge system that **evolves technology**

● **Technology** is a knowledge system that realize
accountable function

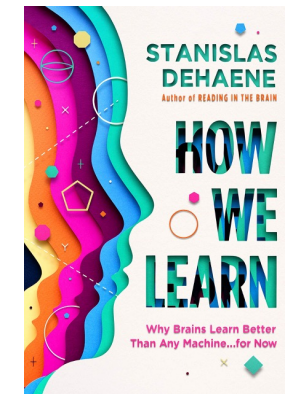
● The evolution of technology manifests itself as the
extension/expansion of boundary of **automation**

■ Mechanism of human recognition of the world

□ How can we **perceive** the external world as it is, without biases?

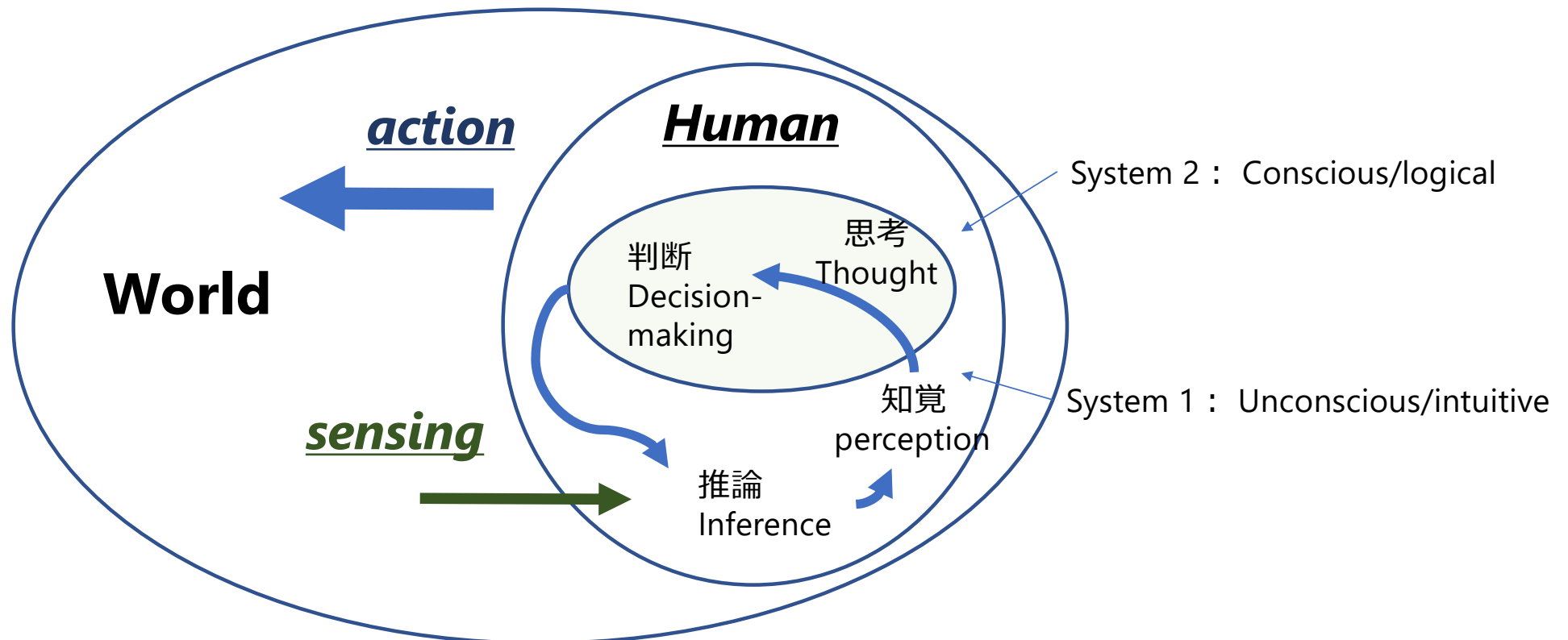


based on

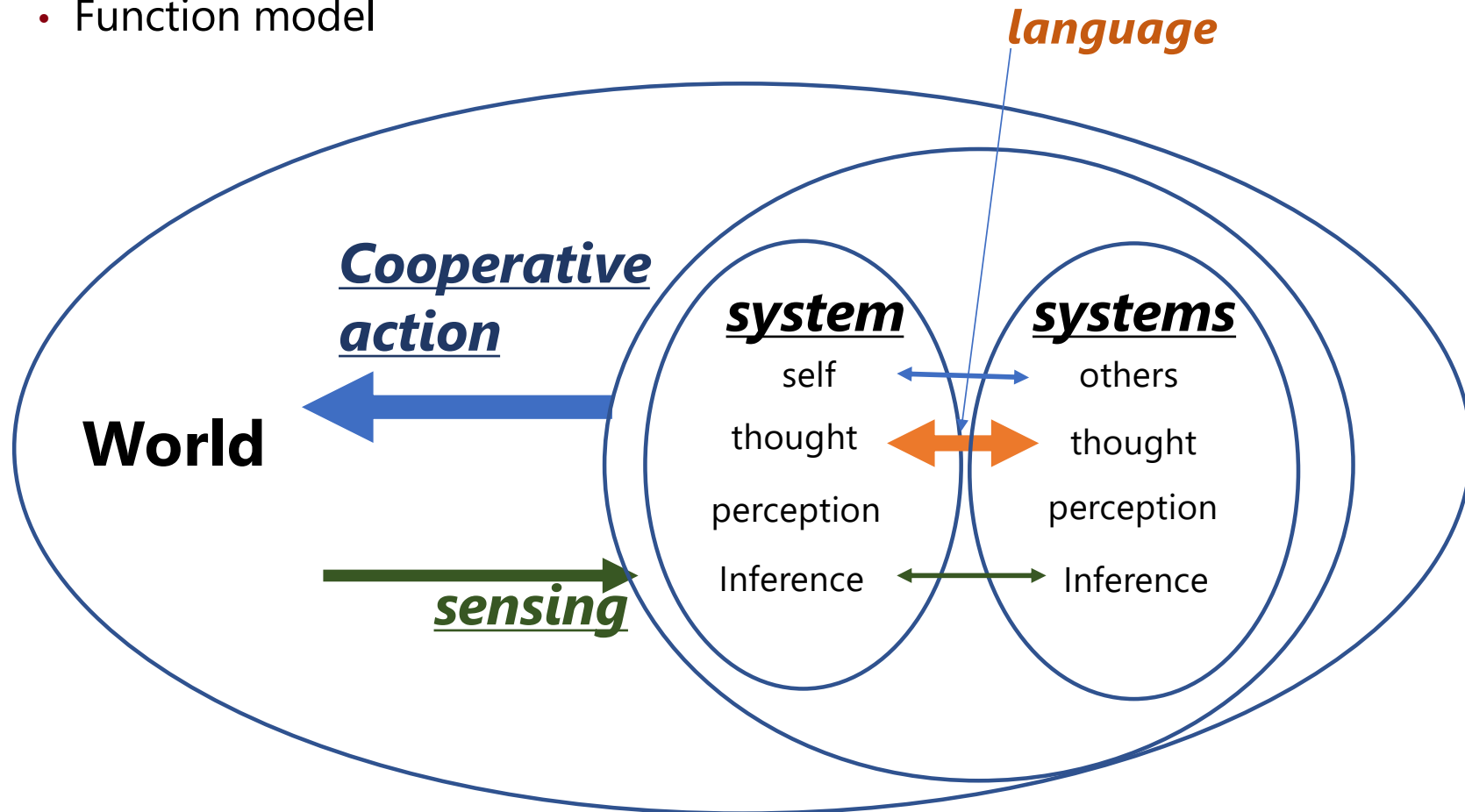


□ Human activity inside and outside

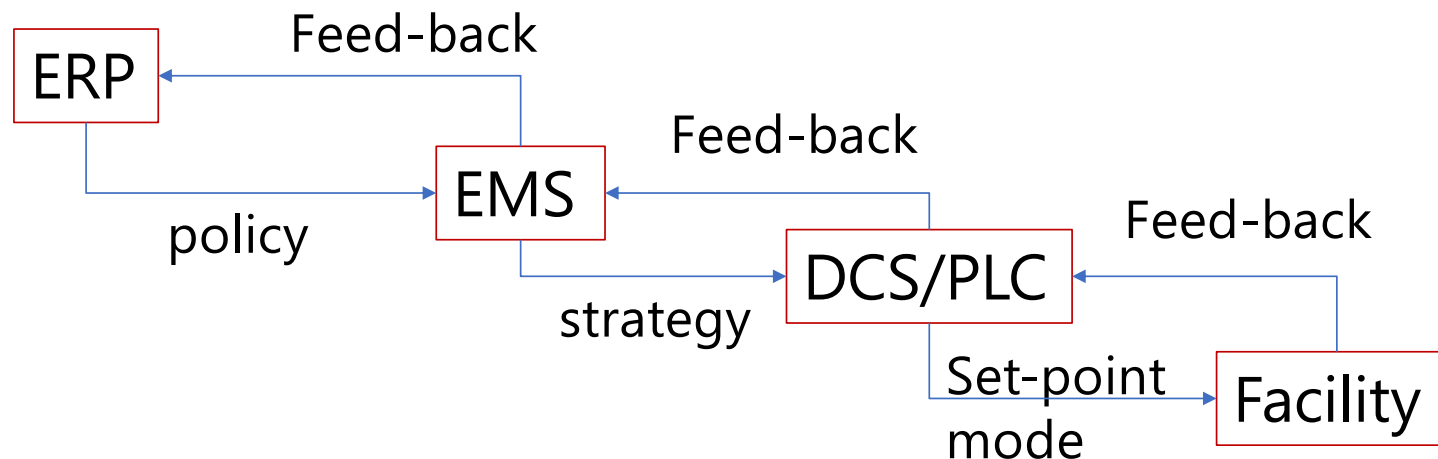
- How can we **perceive** the external world?
- How can we **know** the external world as it is, without biases?



- ▣ Social activity and the social system
 - Function model



- Management system, above the control system, should have capability of **recognition of external world (set of facilities relevant to energy)** and **decision-making** functions based on policy.



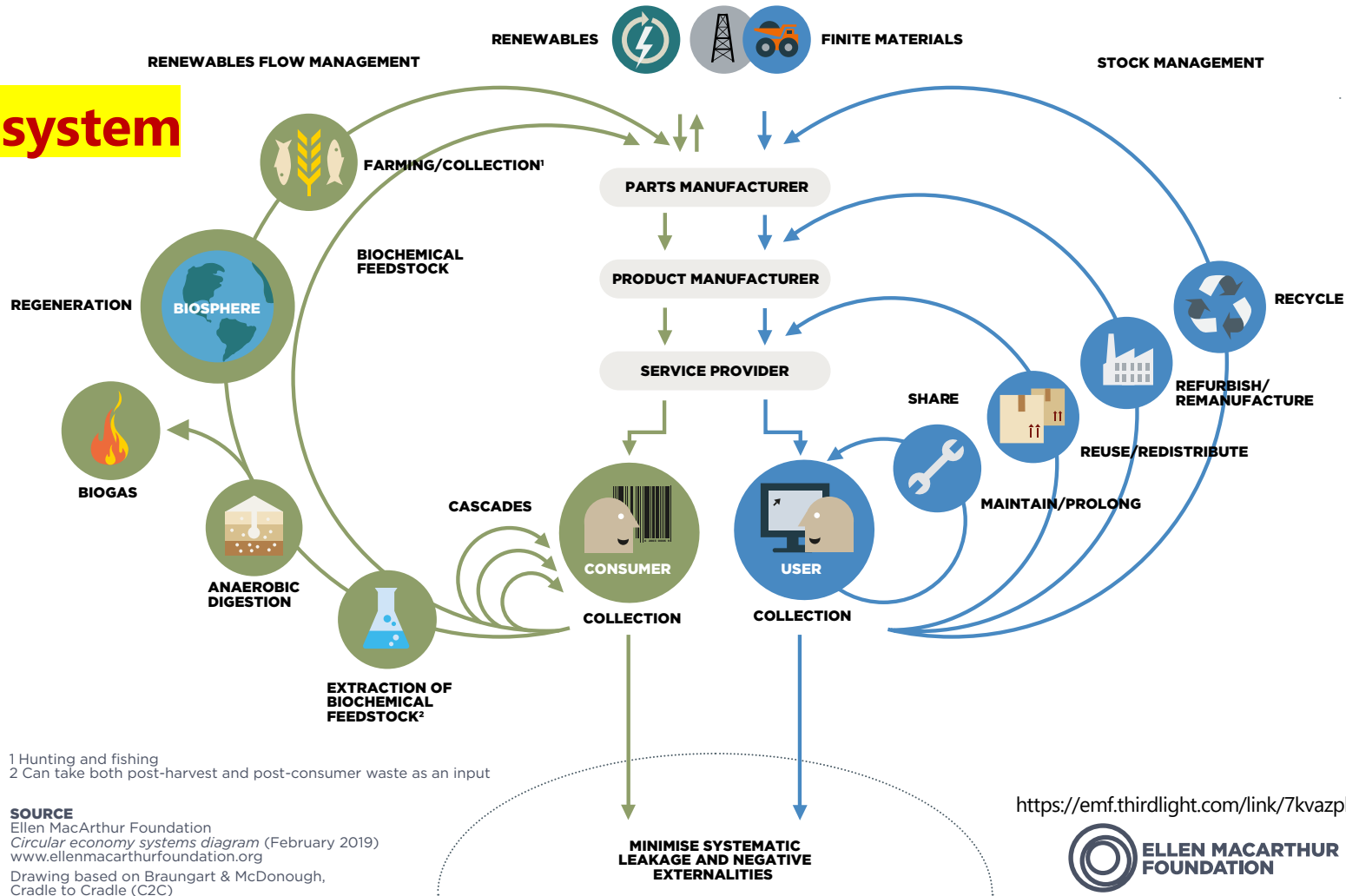
Evaluate your system from the perspective of
Evolution of Technology.

degree of complexity UP!



Automation level is the key indicator of
the **Evolution** of the **Technology.**

ecosystem



1 Hunting and fishing
2 Can take both post-harvest and post-consumer waste as an input

SOURCE
Ellen MacArthur Foundation
Circular economy systems diagram (February 2019)
www.ellenmacarthurfoundation.org
Drawing based on Braungart & McDonough,
Cradle to Cradle (C2C)

<https://emf.thirdlight.com/link/7kvazph93afk-owveai/@/preview/1?o>



- **Optimization** is the key technology to **design** and **operate** a large/complicated system
- To build an artificial system in **society**
 - **Organize the system as an ecosystem**
 - Set **broader boundaries** and prepare **data(information) flows** with all stakeholders to enable them to participate
 - System that **circulates energy + matter + data(information)** at every level
 - If we understand the inner/outer mechanism of our **mind, management system** will evolve.

end

Yoshiharu AMANO, @SICE2022 2022/9/7

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Thank you for your attention.